

# Modern Slavery Statement 2019

## Sojitz Moolarben Resources Pty Ltd (ACN 126 287 027)

### 1. INTRODUCTION

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We are committed to reducing the risk of modern slavery in our supply chains or in any part of our business and improving our practices to combat slavery. As a member of the Sojitz Group (please see paragraph 2 of this statement), we are focused on sustainability and creating value and prosperity for society in conducting our business operations, including as part of the Sojitz Group's medium and long-term management plans and commitment to complying with the *U.N. Guiding Principles on Business and Human Rights (2011)*.

### 2. OUR OPERATIONS AND STRUCTURE AND SUPPLY CHAINS

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We are an Australian proprietary limited company and form part of the Sojitz Group with our ultimate parent company being Sojitz Corporation. Sojitz Corporation has its head office in Japan. As a general trading company, the Sojitz Group is engaged in a wide range of businesses globally, including buying, selling, importing, and exporting goods, manufacturing and selling products, providing services, and planning and coordinating projects, in Japan and overseas. The Group also invests in various sectors and conducts financing activities. The broad range of sectors in which Sojitz operates includes those related to automobiles, plants, aerospace, medical infrastructure, energy, mineral resources, chemicals, foodstuff resources, agricultural and forestry resources, consumer goods, and industrial parks.

We are a special purpose vehicle which held a minority interest in an unincorporated joint venture operating in the coal mining industry in Australia. We sold our entire interest in such unincorporated joint venture on 31 March 2020. As at the date of this statement, we have not acquired any new interests or operated in any manner since 31 March 2020.

We have 5 employees in the Brisbane corporate office.

Our suppliers in our supply chain are limited to Australian based providers of professional services including legal, financial and IT services.

### 3. KEY MODERN SLAVERY RISKS

We have undertaken a risk assessment of our operations and supply chains for the financial year ending 31 March 2020 ("FY19/20") and as our suppliers are limited to professional services suppliers, we did not identify any suppliers operating in higher risk modern slavery industries in Australia.

### 4. HOW WE ASSESS AND ADDRESS MODERN SLAVERY RISKS IN OUR OPERATIONS AND SUPPLY CHAINS

#### ***OUR POLICIES ON SLAVERY***

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We are committed to reducing the risk of modern slavery in our supply chains or in any part of our business. The Sojitz Group's human rights policy reflects our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to mitigate risks of slavery taking place in our supply chains.

The Sojitz Group strives to create value and prosperity by connecting the world with a spirit of integrity. We believe that respect for human rights is an integral foundation for creating what we call "Two Types of Value" —value for both our

company and society, and for meeting the expectations of our stakeholders. In undertaking these business activities, we recognize our responsibility to avoid causing or contributing to adverse human rights impacts and of seeking to prevent or mitigate such adverse impacts. We commit to respecting human rights as set out in the *International Bill of Human Rights* and the *International Labour Organization's Declaration on Fundamental Principles and Rights at Work*, and to carry out our business in accordance with the *United Nations Guiding Principles on Business and Human Rights*.

The Sojitz Group's Human Rights Policy can be found on our website at <https://www.sojitz.com/en/csr/priority/humanrights.php>.

Our commitment to reducing the risk of modern slavery in our supply chains is further supported by the Sojitz Group's Code of Conduct and Ethics (a set of decision making standards established to act as the foundation for all Sojitz Group business activities) and broader CSR policies, such as the:

- CSR Action Guidelines for Supply Chains ("**Action Guidelines**");
- Environmental Policy;
- Anti-Corruption Rules; and
- Anti-Bribery Policy,

available on our website at <https://www.sojitz.com/en/>. In complying with the Code and CSR policies, not only are we able to promote vital Sojitz Group CSR initiatives, but we are also able to gain greater visibility in our supply chains (and therefore any associated modern slavery risks in our supply chains).

## ***DUE DILIGENCE PROCESSES***

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As part of our initiative to identify human rights abuses (including modern slavery) and mitigate associated risks in our business and supply chains, we undertake assessments of the members of our supply chain to identify any risk areas, which take into consideration the reputation of and any historical human rights breaches of the supplier, the location of the supplier and (where possible) where the goods or services it is providing are sourced from, as well as the nature of the products the supplier provides or the particular industry the supplier is involved in. Additionally, we aim to engage only with suppliers that uphold our standards and values in relation to human rights and anti-modern slavery practices through their operations and supply chains.

## ***SUPPLIER ADHERENCE TO OUR VALUES***

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The business activities of the Sojitz Group are possible due to the cooperation of various stakeholders including suppliers and other business partners. For the Group to meet our responsibility to respect human rights, their understanding and cooperation are indispensable. The Sojitz Group is committed to working with and encouraging our business partners to uphold the principles in our Human Rights Policy. In the event that we identify an alleged human rights abuse outside of the Sojitz Group but linked to our business activities, including in our suppliers and other business partners, upon confirming the situation the Group will ask relevant parties such as suppliers and other business partners to take measures to address the human rights issues. For further information on our expectations of our suppliers and other business partners, including our policy concerning cases of material breach, please see our Action Guidelines.

In the event of a material breach of the Action Guidelines, the Sojitz Group shall, upon verifying the facts, request that the supplier or other business partner improve the situation. If no improvement has been made after a specified period, we will take measures which may include a reconsideration of the contract with the supplier or partner. If necessary, the Sojitz Group shall, along with experts designated by the Group, visit the site concerned for investigation.

## ***TRAINING***

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To ensure a high level of understanding of the risks of modern slavery in our supply chains and our business, relevant modern slavery information has been communicated to our staff as part of our training processes.

## 5. OUR EFFECTIVENESS IN COMBATING MODERN SLAVERY

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We did not identify any modern slavery practices within our operations or within our supply chain during FY19/20. We also identified the following initial target to be used in improving our effectiveness in identifying and managing modern slavery risks in our supply chain:

### **Employee Training**

Ensuring that all relevant employees that have the ability to identify or otherwise manage modern slavery risks in our supply chain are given modern slavery training. As part of this process, our online training materials and resources in relation to human rights are being updated and expanded to ensure that we are providing the most up to date training on human rights and modern slavery risks and processes to our relevant employees. The employees will be required to undertake e-learning training and questionnaires to confirm their understanding of modern slavery risks at the time of onboarding and on an annual basis.

In working towards this goal, we aim to build greater knowledge within our organisation, have better visibility of our supply chains and potential modern slavery risks in such supply chains and meet our commitment to reducing the risk of modern slavery in our supply chains or in any part of our business.

## 6. REMEDIATION

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We respect the human rights of people involved in our operations. This applies not only to our employees, but also to everyone affected by our operations throughout its supply chains. Should there be any instances of modern slavery, we will seek to rectify them.

This modern slavery statement is made for Sojitz Moolarben Resources Pty Ltd (ACN 126 287 027) for the 2019/2020 financial year ending 31 March 2020.

This statement was approved by our Board of Directors on 21<sup>st</sup> Dec 2020.



Shigeru Saito, Director

Sojitz Moolarben Resources Pty Ltd (ACN 126 287 027)

Date: 21<sup>st</sup> Dec 2020