

1. Introduction

Commonwealth Modern Slavery Act 2018 Anti-Slavery and Human Trafficking Statement

At Euroz Hartleys Group Limited, respecting human rights is inherent in our business operations and is embedded in our values, reflecting and shaping our behaviours and organisational culture. We aim to treat everyone fairly and create a workplace and operating environment that is safe, ethical and transparent. Aligning with our core values and beliefs, any action taken to date or planned in the future will enhance our ability to address the risk of modern slavery in our operations and supply chain. This statement has been prepared in accordance with the Australian Modern Slavery Act 2018 which came into effect on 1 January 2019.

2. Company Overview

Euroz Hartleys Group Limited is a Western Australian based provider of full-service stockbroking, investment, financial advisory, corporate finance, wealth management and funds management services to private, institutional and corporate clients.

Originally founded and listed in 2000 as a stockbroking business, through organic growth and successful acquisitions we have grown to become one of the largest Western Australian financial advisory firms. The Group has over 180 employees in our offices in Perth and has a national and international client base and large distribution network (as at 30 June 2022).

Across our main operating entity Euroz Hartleys Limited we service over 24,000 clients with funds under management of \$3 billion. Our specialist focus is on ASX listed resource, energy and industrial companies with a market capitalisation of between \$5 million and \$5 billion.

Our business is led by an experienced, stable Board and management team which has a substantial stake in the Group.

3. Our Supply Chains

Euroz Hartleys Group Limited and our subsidiaries supply chain includes, but is not limited to;

- IT hardware and software;
- Building facilities and office supplies;
- Payroll services;
- Legal, accountancy and other professional intermediaries;
- Financial market data and news;
- Transaction related services and infrastructure.

4. Our Policies and Principals

We are committed to conducting business ethically and to ensuring that there is no slavery or human trafficking in our business or in our supply chains.

Staff are provided with training as part of the induction process to ensure they have a high level of understanding of the risks of modern slavery and human trafficking in our business and supply chains. Refresher training is provided as appropriate. We expect our suppliers to meet the same high standards.

We have in place a number of company and Group policies which address issues relevant to slavery and human trafficking, including:

- Codes of Conduct
- Written employment practices and procedures which ensure fair recruitment and treatment of employees
- A written whistleblowing policy and procedure which enables employees to report in confidence and concerns of malpractice or other unethical behaviour, which would include any concern related to slavery and human trafficking issues.

5. Our Continuing Commitment

We will continue to monitor and review our existing policies during the current financial year, with the aim of ensuring that slavery and human trafficking do not occur in any part of our business or our supply chains.

Our entire business operations are based in Perth, Western Australia and do not outsource any of our business operations. All of our business partners are domestically located in Australia.

6. Approved by the Board

This statement was approved by the board of Euroz Hartleys Group Limited in their capacity as principal governing body of Euroz Hartleys Group Limited on 31 August 2022.

This statement is made pursuant to section 16(2)(a) of the Modern Slavery Act 2018 and constitutes Euroz Hartleys Group Limited's slavery and human trafficking statement for the financial year ended 30 June 2022.



Andrew McKenzie

Executive Chairman

31 August 2022