



MAX Solutions Modern Slavery Statement

March 2021

MAX at a glance

As the largest employment services provider in the country, MAX Solutions is proud to support thousands of Australians each and every day.

MAX works closely with more than 30,000 Australian employers, as well as government and other partners, to deliver individualised employment, health and training services through more than 250 full time, part time and outreach offices.

After nearly two decades of operating in Australia, our teams around the country have a deep connection to the local communities they serve. As part of MAXIMUS Worldwide, we are also able to draw on global best practice, world-leading technology, and insight to create life-changing opportunities for our customers.

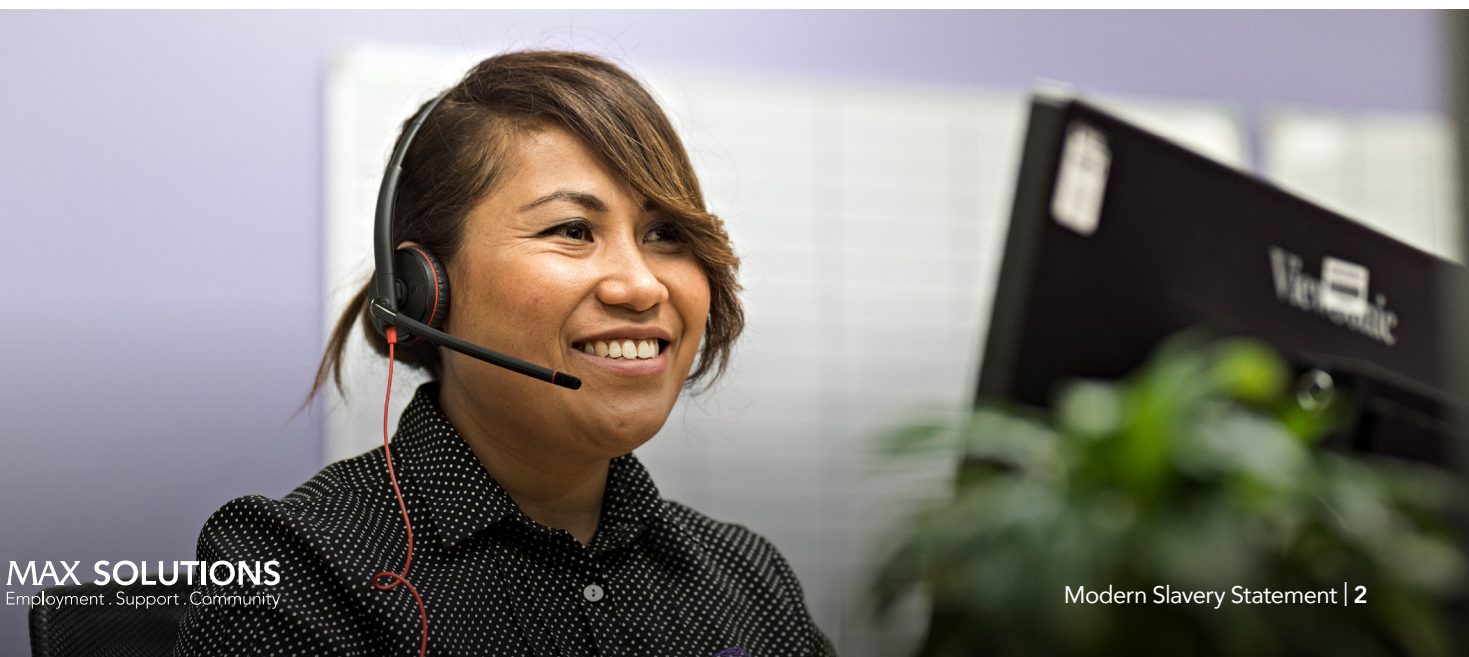
Our vision

To be a recognised leader in human services, giving every person, every chance.

Our values

Our values help define who we are as an organisation and they are key to our long-term success. At MAX Solutions, we believe every person has the right to opportunity.

Our services help people see a different future for themselves. By understanding the individual needs of our customers, we can provide the right support to help get them there. It is our passion, our spark, that drives us to make the most of every opportunity, connect with people, and have a positive impact on our community.



Delivering opportunity across Australia

We work across Australia with employers, community partners, support organisations and our customers. By working together, we help people see a different future for themselves. We are proud to live in, and be part of, the communities that we serve in every state and territory in Australia.



MAX Solutions Modern Slavery Statement

This statement discloses the activities of MAX Solutions ABN 51067092737 and its controlled entities to understand and implement actions to minimise the risk of modern slavery and human trafficking in our operations and supply chain. This statement is made to comply with the annual reporting requirements of the Australian Government's *Modern Slavery Act 2018* and this first statement takes international financial year timelines into consideration.

Introduction

The purpose of this statement is to outline MAX Solutions' approach to ensuring that we have robust frameworks and processes in place to minimise the risk of modern slavery in our business operations and supply chain.

At MAX, we recognise that slavery and human trafficking can occur in many forms, as considered in the Act. This can include slavery, servitude, human trafficking, forced marriage, forced labour, debt bondage, child labour and deceptive recruiting for labour or services.

MAX is fully committed to operating responsibly and establishing and adhering to the highest ethical standards. We will not tolerate any forms of slavery or human trafficking in our business.

MAX is committed to continuous improvement in this area and the need to keep ahead of current and emerging risks faced by our organisation and the broader community.

We will also ensure the experience and best practice of other organisations, demonstrated through their annual statements, is identified and where relevant adopted within MAX operations.

Our Business

MAX Solutions has more than 1,600 employees spread over more than 250 locations, including outreach locations in every state and territory in Australia.

As the largest employment provider in Australia we work with employers as well as state and federal governments and agencies to deliver 24 programs and services including Disability Employment Services (DES) and jobactive. Our business and community connections are at the core of how we link our customers to a wide range of jobs across multiple industries.

MAX Solutions also operates as a national Registered Training Organisation RTO: 0667, providing vocational and non-vocational courses.

Our multidisciplinary team of registered allied health professionals offer a range of health services to individuals and organisations to improve workplace productivity.

We also provide services through our workplace medical services business Injurynet, aimed at preventing and reducing the impact of injury and illness in workplaces and the community. Injurynet works with a number of large national organisations in a range of industries and have the largest network of GP clinics in Australia.

As part of MAXIMUS Worldwide, we combine local knowledge and connection with global best practice to deliver high quality services to underserved populations.

Our structure, operations and supply chain

This statement covers the activities of MAX Solutions and its associated entities:

- > MAX Solutions Pty Ltd
ABN 51067092737
- > Assessment Australia
ABN 51067092737
- > Asymmetrics Pty Ltd
ABN 59106791299
- > Child Welfare Assessments Pty Ltd
ABN 33153700648
- > Aged Care Assessments Pty Ltd
ABN 88154304766
- > Injurynet Australia Pty Ltd
ABN 37105352501
- > MAXIMUS Australia Holding Company Pty Ltd
ABN 91608016128
- > MAX Foundation Pty Ltd
ABN 13964825520

The MAX Solutions Procurement Policy and Procedure sets out our intention to ensure that all MAX Solutions procurement activity is conducted with the highest ethical standards to:

- > Increase the accountability of all staff throughout a procurement process
- > Implement a risk-based management approach to expenditure activities
- > Provide greater transparency to delegates and the Senior Executive
- > Ensure compliance with all mandatory regulatory reporting including (but not limited to) Sarbanes Oxley Auditing requirements

The policy sets out our requirements under the *Modern Slavery Act 2018* (Cth) and our expectations for our contractors to meet these too.

The policy requires contractors to:

- a) investigate the risk of modern slavery within its operations and those of its supply chain;
- b) assess and address risks regarding modern slavery, including implementing appropriate due diligence and remediation programs;
- c) notify MAX Solutions as soon as possible of any confirmed instances of modern slavery and the actions undertaken by the contractor to remedy the issue;
- d) have all the necessary processes, procedures, investigations and compliance systems in place to undertake the actions in (a) – (c);
- e) upon request, provide evidence to the satisfaction of MAX Solutions which validates the contractor's compliance with this clause; and
- f) include a clause similar to this clause in all contracts it enters into with its suppliers.

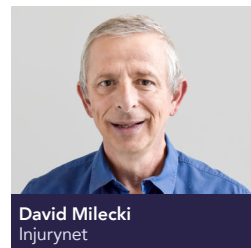
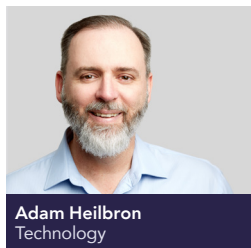
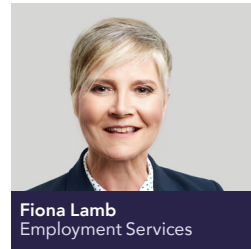
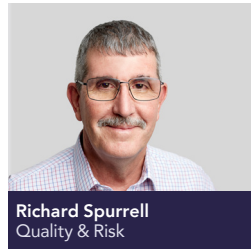
In the event that the contractor does not remedy, or provide an acceptable plan to remedy any identified instances of modern slavery within the timeframe specified by MAX Solutions, we reserve the right to terminate our agreement organisation holds quality accreditation with ISO 9001:2015 and has maintained that or the equivalent standard since 2003. MAX Solutions also maintains accreditation with a range of other standards supported by internal audit and Quality Assurance teams.

Leadership and governance

Executive team

Led by Managing Director Deborah Homewood, the Executive Team at MAX Solutions is passionate about the needs of customers, and delivering high-quality services.

The team has extensive experience in the human services sector, with expertise in program management, quality and compliance, social policy development, change management, workforce development, government relations, service delivery and technology.



Potential risks in our operations and supply chains

In late 2019, MAX Solutions commenced a review of modern slavery practices across the operations of our entities. Using our existing risk management framework, this analysis will continue on an ongoing basis.

During this assessment process we have focused on risks that cause and/or possibly contribute to potential violations of modern slavery practices. We have done so in consideration of the Australian Government's Department of Home Affairs Guidance for Reporting Entities in conjunction with the various associated resources made available on the Australian Border Force portal.

In the reporting period MAX Solutions has engaged with suppliers from a range of industry sectors with over 25% being categorised as small business. We have noted the Australian Border Force indicator of modern slavery practices risks being more prevalent in certain sectors such as cleaning, hospitality, agriculture, textiles production and some types of manufacturing. These industry types are not prominent in our procurement, however we apply additional focus to these suppliers. In our review of the MAX Solutions' supply chains we have also taken the product types and country of origin specifications into consideration. This has not highlighted any areas of immediate concern.

Our review of potential risks has so far found negligible risk, which can be attributed to the strength of internal processes, overarching governance measures in conjunction with ongoing external review with ISO, Sarbanes Oxley requirements and the established legislative and ethical framework we are committed to.

This review of potential risks in our operations and supply chains is part of ongoing business processes for MAX Solutions.

Our approach

At MAX Solutions, we are committed to approach every aspect of our business operations with respect, integrity and accountability. This is especially so with respect to our employees, contractors, customers and all key stakeholders. We have a range of policies which have undergone review to reflect our zero-tolerance approach to modern slavery and to provide legally compliant and ethical company-wide conduct. Our Whistleblower Policy, Human Rights Policy, and Procurement Policy and Procedure provides information ensuring our staff, contractors and customers are not exposed to human rights violations, including modern slavery.

Our procedural controls are reviewed regularly to ensure MAX Solutions and its various entities have robust processes in place to minimise the risk of modern slavery in our operations and supply chain. Our organisation holds quality accreditation with ISO 9001:2015 and has maintained that or the equivalent standard since 2003. MAX Solutions also maintains accreditation with a range of other standards supported by internal audit and Quality Assurance teams.

Our policies and procedures

The Whistleblower Policy gives special protections to stakeholders who make disclosures about misconduct, breaches of any laws or general wrongdoing by the company. It also provides detail of how to report various issues including suspected modern slavery violations.

All procurement governance arrangements are overseen by the MAX Solutions Executive Board consisting of the Managing Director and Executive General Managers. Business areas are responsible for developing detailed budgets including an overview of the return on investment for submission to the Board for consideration.

The key elements that are considered are:

- > Alignment with company strategic objectives and business area priorities
- > Understanding of the procurement purpose through key stakeholder consultation and collaboration
- > Expectations of procurement are agreed to and managed through effective procurement governance
- > Delivery of goods and services that are fit for purpose and delivered within the time, budget and to the quality expected
- > The Procurement contributes to enhancing the organisational capability and capacity of MAX Solutions as a leader in the Employment and Human Services sector
- > A risk management-based approach to procurement activities is implemented to ensure regulatory compliance; and
- > Accountability of all MAX Solutions employees involved in procurement activities.

Approved procurement activities are managed by the responsible business area and progress is regularly reported back to the Board through standard operational reporting mechanisms.

MAX Solutions has a strong commitment to abide by the general requirements of the *Modern Slavery Act 2018* (Cth) ('Act') and to promptly report any such infringement to the regulator

Consistent with these requirements our vendor agreements negotiated with external parties have been remodelled and now incorporate requirements to comply with the *Modern Slavery Act 2018*.

Addressing the risk of modern slavery practices

We are incorporating mitigations to the various risks of modern slavery practices in our group compliance framework and assurance processes. This will ensure that our organisation has robust and effective processes that are firmly embedded in our business.

Our key actions to date can be summarised as;

Policy

- > Existing policies such as Whistleblower Policy and Human Rights Policy contain appropriate coverage and representation for staff and others within the supply chain
- > Documentation such as the Procurement Policy & Procedures with a specific focus of protecting labour standards for entities and others within the supply chain has been updated
- > The organisation's existing Recruitment and Selection Policy and Procedure ensure that 'Right to Work' entitlements are clearly stipulated whilst also an active part of established practices undertaken by all recruitment staff

Leadership & Governance

- > *Modern Slavery Act 2018* requirements and associated reporting responsibilities have been tabled at Executive Board meetings and the Executive General Manager Quality & Risk provide regular updates
- > Analysis has been undertaken of the various suppliers that MAX Solutions utilise and monitoring continues to ensure there are no future risks
- > Whistleblowing Policy and other mediums such as staff training modules and various communications have reinforced the method of reporting and examples of the types of concerns and/or violations which constitute a reportable event;
- > Modern slavery compliance is included as a regular item of the Compliance Report tabled by General Manager at the quarterly Audit Risk Committee attended by the Managing Director and other Executive Board members.

People & Recruitment

- > Staff awareness has been increased with a range of internal communications incorporating all staff bulletins, manager meetings and follow up briefing notes, monthly newsletters and other operational updates. Modern slavery has also been incorporated in onboarding training undertaken by all new hires and annual refreshers undertaken by all existing staff
- > Communications have also included guidance on how to recognise modern slavery practices and highlighted the fact that Australia is not immune to such violations.

Risk & Opportunity Assessment

- > A detailed analysis of the MAX Solutions organisation as well as the corresponding supply chain has been undertaken. Whilst there are no immediate issues identified and results provide negligible risk, we recognise the importance of maintaining this ongoing scrutiny of all facets of our business
- > A log has been established as a record of reported concerns, escalated issued and actual violations and this is maintained by the General Manager Quality & Ethics who also serves as the organisations Probity and Whistleblower official. A status report of this log and other matters relating to modern slavery are tabled as a key part of the Compliance Report during the quarterly Audit Risk Committee meeting(s).

Measurement

- > Whilst analysis of the supply chain has been undertaken in respect to risk in the modern slavery environment, it has also identified areas for improvement in respect to systems to support the overall monitoring. Details of the current development work is noted within the following future commitments section
- > As previously highlighted, in terms of measurement, a log has been established as a record of reported concerns, escalated issued and actual violations. A status report is tabled as a key part of the Compliance Report during the quarterly Audit Risk Committee meeting(s)
- > In respect to the measurement of MAX Solutions overall approach in addressing the risk of modern slavery our policy and procedures are externally reviewed as a part of the organisations accreditation with ISO 9001:2015. The next recertification audit is to be conducted in June 2021.

External Engagement

- > MAX Solutions has taken the opportunity to communicate requirements of the *Modern Slavery Act 2018* with supplier and general stakeholders. As also highlighted previously these requirements and MAX Solutions overarching responsibilities are also incorporated in all contract agreements
- > Business development and planning for future potential involvement with new or extended contracts are conducted with consideration of the requirements of the Act. As a part of this process details of the modern slavery requirements are shared with external stakeholders to assist overall understanding and allow identification of unacceptable risk.

Future commitments

Over the current reporting year, our key focus areas will be:

- > Reviewing and updating our suite of risk-related policies and governance control measures
- > Continuing awareness training sessions to staff – both new hires and existing staff
- > Incorporating requirements into existing assurance processes ensuring that our approach is continuously reviewed with independent external oversight
- > Ongoing review of all Annual Statement submissions as provided on the Australian Border Force portal with the view to ensuring that best practice and key learnings from other organisations are considered for possible implementation
- > Implementation of new Contracts Management and Finance system which is expected to allow improved reporting capability as well as analysis of areas presenting risk
- > Ongoing communication to supply chain and contractors in addition to the continuation of staff awareness programs
- > Continuing to prioritise surveillance of modern slavery, human trafficking and other elements presenting risk.

This statement was approved by the members of the board of MAX Solution's Pty Ltd in their capacity as principal governing body of MAXIMUS Australia Holding Company Pty Ltd and their subsidiaries on 23rd March 2021.

Deborah Homewood
Managing Director

