



Modern Slavery Statement

2020



Stockbroking | Wealth Management | Corporate Advice

This Modern Slavery Statement is made in accordance with the *Modern Slavery Act 2018* (Cth) and covers the activities of Morgans Financial Limited ACN 010 669 726 and its related bodies corporate (collectively referred to as **Morgans**).

Our structure, operation and supply chains

At Morgans, we recognise that human rights is an area of importance to our employees, shareholders, clients, and the communities in which we do business. We also acknowledge our role and take our responsibility in seeking to safeguard human rights through ethical and sustainable business practices.

Morgans is a public company founded in 1982 and is now one of Australia's largest national full-service stockbroking and wealth management firms, operating from offices and branches in all states and territories.

Our services include stockbroking, financial planning, wealth management and corporate finance including capital raising and corporate advisory. We are also a publisher of research relating to economics, strategy, equities and fixed interest.

Our supply chain includes IT services (both hardware and software), marketing services, hospitality (including food and beverage), corporate travel and transportation, courier and postal services, building and maintenance services, and labour services such as consultancy services.

Identified and addressing supply chain risks

As a company within the financial services industry, our risks to cause, contribute to, or be directly linked to modern slavery largely rests in our supply chain.

Following a review of our supply chain, we have identified that there exists the potential for modern slavery in certain industries we interact with, namely hospitality, marketing services, transportation, and building and maintenance services.

While we did not identify any incidents or risks of modern slavery with our suppliers, Morgans takes its responsibility seriously and will continue to undergo a regular due diligence process with our suppliers to ensure Morgans is not contributing to any forms of modern slavery.

Our policies and procedures

In addition to our ongoing communication with our suppliers, Morgans has implemented internal procedures in an effort to educate staff so that all of Morgans is aware of risks and signs of modern slavery.

Morgans has introduced an Anti-Slavery Policy which applies to all staff of Morgans and to our national network of offices and branches. Morgans' policies and procedures have also been reviewed and where necessary updated to reflect Morgans' position on modern slavery.

Morgans has also implemented new procurement procedures to reflect Morgans' position on modern slavery and its commitment to ethical and sustainable business practices.

Accessing the effectiveness of our actions

Morgans has formed an internal team consisting of employees and directors to oversee the continued effectiveness of its modern slavery strategy. The internal team will undertake internal audits to ensure ongoing and new suppliers undergo a modern slavery due diligence assessment, communicate with suppliers, regularly review internal policies and training, and update when required. Relevant Morgans' staff will also participate in staff training in respect to modern slavery.

Approval

This Modern Slavery Statement was approved by the Board of Morgans Financial Limited.



Brian Sheahan
Executive Chairman
Morgans Financial Limited
3 December 2020

Queensland

Brisbane	+61 7 3334 4888
Stockbroking, Corporate Advice, Wealth Management	
Brisbane Edward Street	+61 7 3121 5677
Brisbane Tynan Partners	+61 7 3152 0600
Brisbane North Quay	+61 7 3245 5466
Bundaberg	+61 7 4153 1050
Cairns	+61 7 4222 0555
Caloundra	+61 7 5491 5422
Gladstone	+61 7 4972 8000
Gold Coast	+61 7 5581 5777
Holland Park	+61 7 3151 8300
Ipswich/Springfield	+61 7 3202 3995
Kedron	+61 7 3350 9000
Mackay	+61 7 4957 3033
Milton	+61 7 3114 8600
Newstead	+61 7 3151 4151
Noosa	+61 7 5449 9511
Redcliffe	+61 7 3897 3999
Rockhampton	+61 7 4922 5855
Spring Hill	+61 7 3833 9333
Sunshine Coast	+61 7 5479 2757
Toowoomba	+61 7 4639 1277
Townsville	+61 7 4725 5787

Victoria

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Brighton	+61 3 9519 3555
Camberwell	+61 3 9813 2945
Domain	+61 3 9066 3200
Geelong	+61 3 5222 5128
Richmond	+61 3 9916 4000
South Yarra	+61 3 8762 1400
Southbank	+61 3 9037 9444
Traralgon	+61 3 5176 6055
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Northern Territory

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New South Wales

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Sydney Reynolds Securities	+61 2 9373 4452
Sydney Currency House	+61 2 8216 5111
Armidale	+61 2 6770 3300
Ballina	+61 2 6686 4144
Balmain	+61 2 8755 3333
Bowral	+61 2 4851 5555
Chatswood	+61 2 8116 1700
Coffs Harbour	+61 2 6651 5700
Gosford	+61 2 4325 0884
Hurstville	+61 2 8215 5079
Merimbula	+61 2 6495 2869
Mona Vale	+61 2 9998 4200
Neutral Bay	+61 2 8969 7500
Newcastle	+61 2 4926 4044
Orange	+61 2 6361 9166
Port Macquarie	+61 2 6583 1735
Scone	+61 2 6544 3144
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