

## Modern Slavery Statement

### 1. Purpose of Statement

This Modern Slavery Statement is made on behalf of Capital International, Inc. (“CII”) for the purposes of addressing the requirements of section 16 of the Australian Modern Slavery Act 2018 (Cth).

### 2. Corporate Structure & Operations

CII is a wholly owned subsidiary of Capital Group International Inc., which in turn is a wholly owned subsidiary of The Capital Group Companies, Inc. (“Capital Group”). The Capital Group is an international investment research and management group providing services to institutional and private investors via investment professionals and support staff. Capital Group has been privately held since 1931 and is headquartered in the United States with over 7000 employees across 27 locations around the world as of 30 June, 2020.

### 3. Supply Chains

As an international investment research and management company, Capital Group employs various types of vendors and suppliers which include, but are not limited to:

- a. Financial institutions including global custodians, distributors and brokers
- b. Market data and research providers;
- c. External outsourcings including pay-roll and IT development;
- d. Professional services including accounting, tax and legal;
- e. IT hardware, software, maintenance and support services;
- f. Landlord and office servicing providers including cleaning, catering, print management and maintenance; and
- g. Suppliers of goods including stationery, food and beverages.

### 4. Risks of Modern Slavery in operations & supply chains

Capital Group takes pride in encouraging excellent professional relationships, good working conditions and competitive salaries that are compatible with sound and sensible business practices.

Capital Group considers that the investment services that it provides are highly unlikely to be affected by modern slavery and human trafficking as are the supply chains of those used for the purchase of goods and services.

## 5. Actions Taken to address the risk of Modern Slavery

Capital Group performs background checks of all associates. Relevant associates participate in a global procurement processes and carry out due diligence on major supply chain partners.

During the fiscal year ending 30 June, 2020, CII reviewed the size and the type of services provided by its supply chain partners and identified those with whom CII will work to ensure they are compliant with the Modern Slavery Act 2018. For the selected supply chain partners, CII will:

- a. Seek confirmation of suppliers' compliance with all applicable laws and regulations including employment laws and, where applicable, the Modern Slavery Act 2018;
- b. update contracts with suppliers to include clauses to ensure they are compliant with the Modern Slavery Act 2018, where applicable; and
- c. communicate with suppliers to make them aware that they should be compliant with the Modern Slavery Act 2018.

Capital Group is an organisation which operates globally through its affiliated companies and will confirm the effectiveness of its Modern Slavery mitigation action via engagement with, and confirmation by, associates who are responsible for managing supply chain partners.

## 6. Consultation with Controlled Entities

Capital Group Investment Management Limited ("CGIML") is a wholly owned subsidiary of CII. CGIML provides responsible entity services to the managed investment schemes of which CII is investment manager in Australia. CGIML will employ the same approach to supplier due diligence and supply chain management as CII.

## 7. Future Tasks

- a. Increasing associates' awareness of modern slavery risks by providing communication and training to those involved in selecting and managing supply chain partners;
- b. Further analysing and understanding our supply chain to ensure our risk mitigation approach is appropriate; and
- c. Reviewing requirements of any regulatory updates to the Modern Slavery Act 2018 to ensure our approach remains compliant.

**Approved by the Board of Capital International, Inc.**

As at 4 March 2021



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Maria Manotok  
Chair of the Board of Capital International, Inc.