



FY22

Modern slavery statement



Message from the CEO

“Modern slavery has no place in our business, and we strictly prohibit the use of any form of child labour, forced labour, bonded labour or the trafficking of persons through our company operations and within our global supply chain.”

Goldwind Australia is pleased to publish our third Modern Slavery Act Statement that reports on the progress we have made over the past year around this important area.

This Reporting Period has seen us partnering with a leading independent MS Specialist to undertake extensive risk assessments to identify areas of inherent modern slavery risk in our business. These specialists also assisted us in delivering compulsory training to all employees that provides them with a well-grounded understanding of what modern slavery is and how they can identify modern slavery risks with suppliers. All of Goldwind Australia’s new starters will undertake this compulsory training.

We have also established an Environmental, Social, and Governance (ESG) function within our organisation to provide oversight and management of our Modern Slavery initiatives in this Reporting Period.

There is still work to do over the year (FY23) including the roll-out of Modern Slavery Risk Management Plans for all the sites we manage under our Service business as well as for all new projects we are constructing this year.

More around our initiatives planned for the year are published in this Report.

As much as we have not identified any specific occurrences of modern slavery in our business, we continue to be vigilant in ensuring that there is no instance of modern slavery in our operations and supply chain – our aspiration to continue setting higher standards internally and within our supply chains is as strong as ever.

The continued progress we are seeing is the result of a dedicated focus on Modern Slavery that cannot be possible without the ongoing support of our employees and customers as well as our valued suppliers who are collaboratively working with us. I want to acknowledge their efforts and thank them for sharing the mutual and deep respect we have for the foundation of human rights, and the prohibition of any form of child or forced labour, bonded labour, or modern slavery in any form.

Our efforts will help towards ensuring a *brighter tomorrow* for the world we live in.



Ning Chen
Chief Executive Officer
Goldwind Australia

Introduction

Goldwind Australia Pty Ltd ACN 140 108 390 (Goldwind Australia) upholds a deep respect for human rights.

Modern slavery has no place in our business, and we strictly prohibit the use of any form of child labour, forced labour, bonded labour, or the trafficking of persons through our company operations and within our global supply chain.

This is our third Modern Slavery Statement (the **Statement**) under the Modern Slavery Act 2018 (Cth) (the **Act**) that covers progress Goldwind Australia has made in our approach towards managing modern slavery risks within our business in FY22 as well as the mandatory reporting criteria. Only Goldwind Australia has met the financial threshold for reporting under the Act for this Reporting Period (FY22) – none of our other Australian entities have met the threshold.

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Our organisation

Reporting entity

This document is a Modern Slavery Statement pursuant to section 13 of the Act for the reporting entity Goldwind Australia Pty Ltd ACN 140 108 390 (the **Reporting Entity**). The Reporting Entity is an Australian proprietary limited company incorporated under the Corporations Act 2001 (Cth) and registered with ASIC.

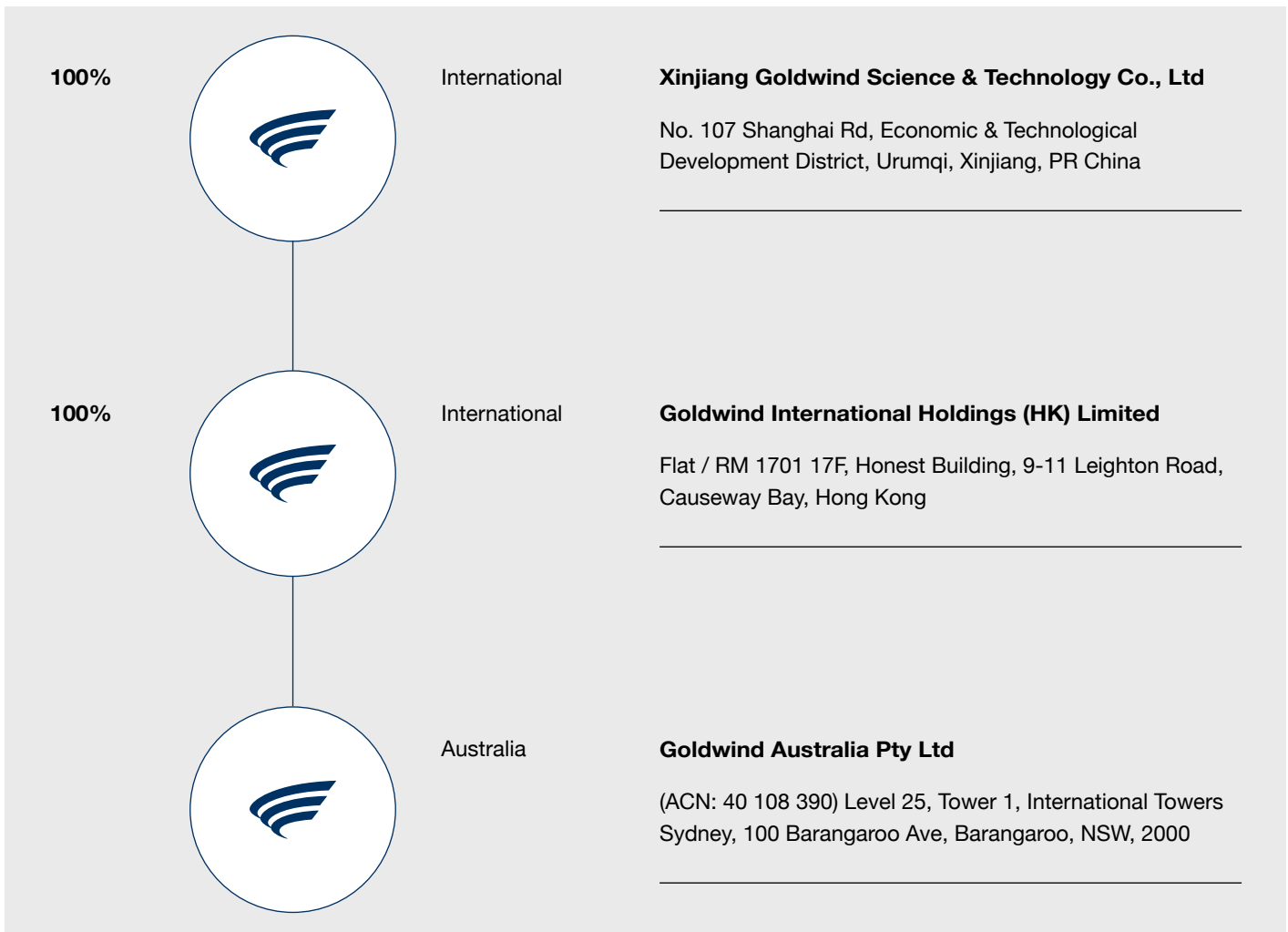
Company structure

The Reporting Entity is a wholly owned subsidiary of Goldwind International Holdings (HK) Limited, a company incorporated in Hong Kong, which is itself a wholly owned subsidiary of Xinjiang Goldwind Science & Technology Co., Ltd, a company publicly listed on two stock exchanges - the Shenzhen Stock Exchange (SZSE: 002202) and the Stock Exchange of Hong Kong Limited (HK: 2208).

The global Goldwind business covers 38 countries across six continents and is supported by about 11,000 employees worldwide, including over 3,000 employees research and development (R&D) and technical areas. Since its founding more than 25 years ago, the company has delivered over 47,000 wind turbines all over the world with a global cumulative installed capacity exceeding installed capacity of 100GW.

For more detail about Goldwind Science & Technology including its growth strategy, corporate sustainability and human rights commitment including our annual sustainability reports, and company reports, please visit its corporate website at www.goldwind.com.

Goldwind Science & Technology investor and stock exchange documents, reports and information can be found at: www.goldwind.com/en/invest.



Corporate structure chart for the Reporting entity including registered addresses for each entity.

Operational structure

The Reporting Entity carries out the following types of business within the renewable energy sector in Australia:

- Development business**
 Investment, acquisition and development of renewable energy projects (including wind and solar farms).
- OEM (original equipment manufacturer) business**
 The sale and supply of wind turbine components and the construction and installation of renewable energy projects (including wind and solar farms).
- Services business**
 The servicing, maintenance, and asset management of renewable energy projects (including wind and solar farms).
- Energy management business**
 The management of wholesale trading relating to renewable energy projects (including wind and solar farms).

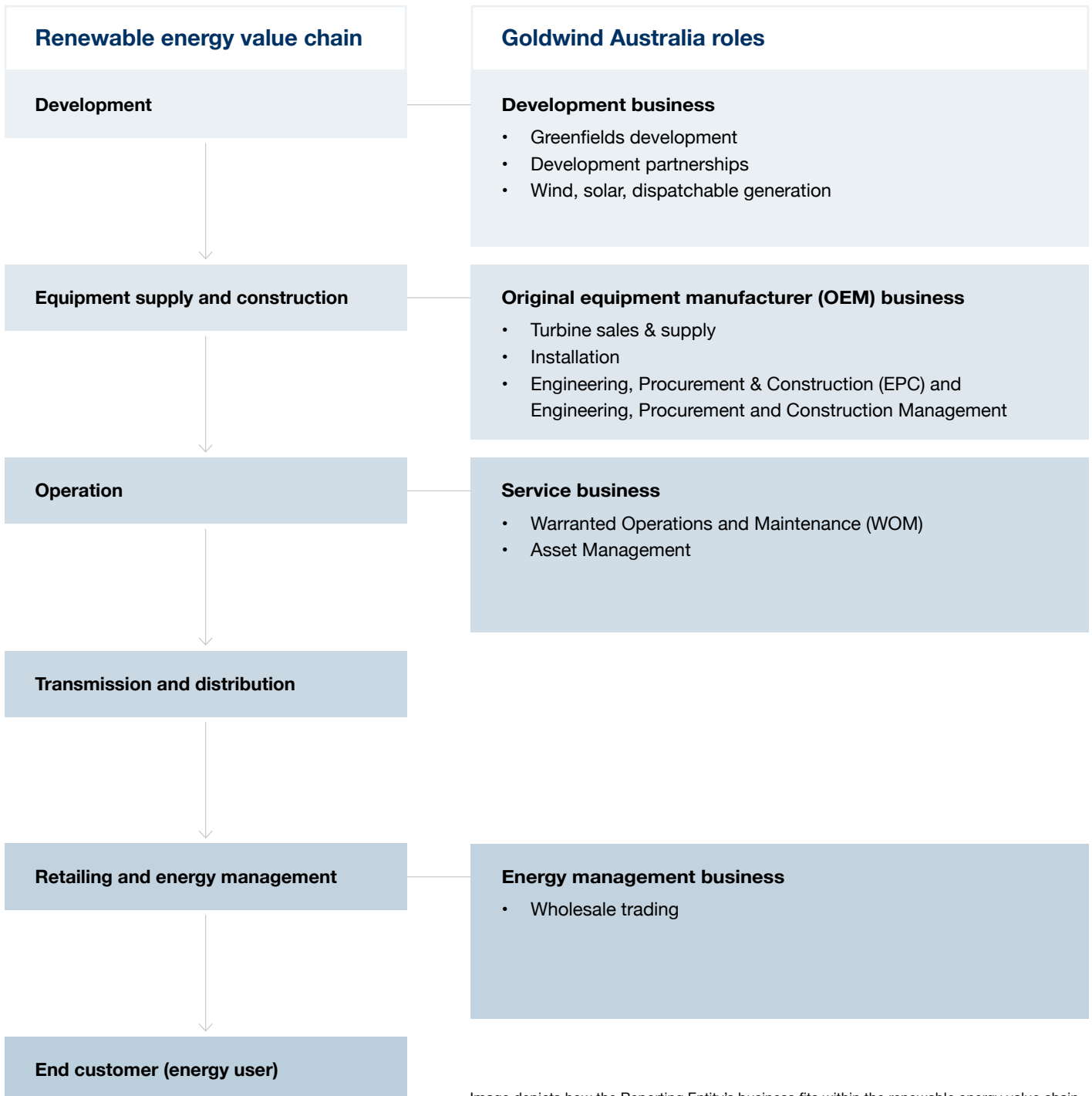


Image depicts how the Reporting Entity's business fits within the renewable energy value chain.

The operational structure of the Reporting Entity consists of the following departments:

- Development & Investment
- Sales & Marketing
- Program Delivery
- Service
- Grid & SCADA
- Corporate Services*

*comprising People and Culture, Cybersecurity and Digital Transformation, ESG & Government Engagement, Legal, and HSEQ (Health, Safety, Environment & Quality)

As at 31 December 2022, the Reporting Entity directly employed 198 employees.

The Reporting Entity operates from two corporate offices in Sydney and Melbourne and a number of project sites across Australia within the following States:

- New South Wales,
- Victoria,
- Tasmania,
- Queensland, and
- Western Australia.

The Reporting Entity is the main contracting entity for all projects in Australia outside of Tasmania and Queensland but, in practice, the Reporting Entity and its related entities operate as a single business across Australia.

During the Reporting Period, projects were in various phases of development, construction, and operations. Some of these projects are wholly or partially owned by companies within the Reporting Entity's corporate group, and other projects are wholly owned by third parties.

Supply chains

The main direct supply chains of the Reporting Entity can be divided into the following categories:

- **Procurement of goods**
Including wind turbine components (e.g. generators, hubs, nacelles, blades, towers, etc.) and other major electrical plant and equipment required to construct renewable energy projects (e.g. powerlines, substations, synchronous condensers, etc.), personal protective equipment and clothing, technology software and hardware, and office stationery.
- **Construction, installation, and logistics subcontracts**
Including subcontracts entered into for the construction, installation and logistics of renewable energy projects covering all necessary civil and electrical work on site and the delivery and installation of major components to site. Throughout the on-site works there is typically a significant number of personnel on-site, across a range

of subcontractors to undertake the necessary works. Where workers are not residents of the local area to the project, they are accommodated in a range of accommodation from long term residential rental properties to accommodation in local hotels if extended stays are required.

- **Asset management services**

Engineers and technicians performing onsite servicing and maintenance work for renewable energy projects.

- **Professional consultancy services**

Including engineering, environmental, planning, legal, accounting, financial, energy trading and recruitment performing office-based and on-site consultancy services to support its activities both at a corporate level and at a project level throughout the life cycle of its renewable energy projects. Where overnight travel to project sites is required, they are usually accommodated in local hotels or motels.

- **Outsourced services**

Including for information technology (I.T.) technical support and staff payroll.

- **Support services**

Services ancillary to its operations such as fleet, labour-hire, equipment-hire, software, cleaning, and security.

The Reporting Entity procures the majority of the major wind turbine components described above from its parent company in China and wherever possible, some of the wind turbine tower components from local Australian manufacturers. The Reporting Entity procures the remainder of the above goods and services locally in Australia from suppliers predominantly based in Australia.

From time to time, the Reporting Entity may use specialist technical expertise from overseas suppliers where required to undertake some of the above services (e.g. for technical engineering or commissioning services).

The Reporting Entity aims to utilise local suppliers and service providers within the local area or State of its project sites wherever possible (e.g. for civil and electrical construction work, met mast installation, off-site road works, procurement of transformers, etc.). This is delivered through a number of strategies including local employment workshops and participation in local industry networks such as the ICN Gateway that has helped the Reporting Entity see high levels of local industry participation in its projects. In addition, the Reporting Entity aims to employ locals to operate and maintain its projects post-construction over their lifetime. The Reporting Entity also engages closely with key subcontractors to ensure local employment is encouraged.

Understanding our modern slavery risk areas

Mandatory reporting criteria

Our approach

We see modern slavery as including:

- Human trafficking
- Slavery
- Servitude
- Forced labour
- Debt bondage
- Forced marriage, and
- Any form of child labour

Modern slavery risk describes the potential adverse harm to people that a business can have across their operations and supply chain.

We acknowledge that there are inherent modern slavery risks within our business that need to be continually identified, assessed, mitigated, and addressed as part of our responsibility to respect human rights.

In this Reporting Period (FY22), we appointed a leading independent human rights and social impact services specialist (MS Specialist) to identify key areas of inherent modern slavery risk across our operations and supply chain, which we disclosed in our previous Statement. These areas are covered in the next section.

In partnership with the MS Specialist, four inherent risk indicators were identified as part of the inherent risk identification process. These indicators are;

- **Presence of vulnerable populations**
People and communities that are inherently more vulnerable than others to experiencing modern slavery and its impacts. Examples include base-skilled labour, migrant labour, and non-native language speakers.
- **High risk business models**
Certain businesses and supply-chain structures that limit visibility of workers and their working conditions are associated with modern slavery. Examples include outsourcing and labour-hire, aggressive pricing strategies, long and complex supply chains and seasonal labour demand.
- **High risk sectors and categories**
Certain sectors and categories are more likely to contribute to modern slavery due to prevalent labour rights issues throughout the value chain. Examples include cleaning and security services, logistics and transport, mining, and processing of conflict minerals.
- **High risk geographies**
Modern slavery is more likely to occur in geographic areas where there is a weak rule of law, conflict, corruption, displacement, and poor adherence to labour laws.

Identified areas of inherent modern slavery risk

We did not identify any instances of modern slavery within our operations or supply chain during the Reporting Period.

However, in partnership with the MS Specialist in 2022, we identified areas of heightened risk based on a risk assessment of our operations and supply chain. It is important to note that these areas represent inherent modern slavery risks only.

These areas were highlighted in our previous Statement and continue to be areas that require a dedicated focus to manage the inherent modern slavery risk. These key areas will continue to be assessed yearly and updated as necessary as our global landscape and risk areas evolve.

Area	Description	Description of identified inherent risk indicators
Wind turbine components	<p>Includes all components that make up a wind turbine, including the wind turbine tower sections, blades, and generators.</p> <p>These components are sourced as a single purchase from Goldwind Australia's parent company, Goldwind International. Goldwind International manufactures and sources all wind turbine components in China, through various manufacturers that specialise in heavy steel fabrication, fibreglass and other material processing and manufacturing.</p>	<ul style="list-style-type: none"> • Our supplier, Goldwind International has manufacturing centres throughout China. • Raw materials and minerals that are used for wind turbines, may be mined from areas that have significant human rights issues with low labour rights protections. • Wind turbines have a relatively deep supply chain - from raw materials, processing, manufacturing, logistics - this makes it harder to monitor and verify labour standards across the entire supply chain.
Third-party arrangements and labour hire	<p>Includes all third-party labour arrangements engaged by Goldwind Australia such as the following:</p> <ul style="list-style-type: none"> • Electrical and mechanical trade roles are often outsourced via contract and subcontracting arrangements, • Labour hire is used in both office and regional asset/construction settings, • Sub-contracting of land transportation. • Labour hire agencies are engaged to fill roles including construction workers, project-based work including document controllers and project managers and other office-based roles. 	<ul style="list-style-type: none"> • Third-party arrangements, sub-contracting and the use of labour hire agencies are all considered to be high risk business models as they potentially create multiple layers between Goldwind Australia and the project workforce, therefore limiting visibility over recruitment and labour practices. • The use of contractors and subcontractors overlaps with vulnerable populations including base-skill, migrant, low socioeconomic, or culturally and linguistically diverse backgrounds workers that are vulnerable to systemic issues such as underpayment, withholding of wages, and excessive working hours.

Area	Description	Description of identified inherent risk indicators
<p>Shipping</p>	<p>Refers to the transportation by sea of wind turbine components and parts.</p> <p>Wind turbine components are extremely large and heavy, requiring specialised project cargo ships for transportation.</p>	<ul style="list-style-type: none"> • The shipping industry is a high risk sector due to the limited oversight and monitoring of working conditions when vessels are in transit. • There are vulnerable populations working in this sector, including base-skill, migrant, low socioeconomic, and culturally and linguistically diverse workers who are vulnerable to issues such as debt bondage and excessive working hours.
<p>On land logistics</p>	<p>Includes the storage and transport of the wind turbine components on land in Australia. This includes the removal of the components onto land, the storage of these components onshore and the transportation by road transport of the wind blades, generator, and tower.</p> <p>Goldwind Australia is responsible for the logistics at the point in which these components are lifted and 'hanging off a hook' from the ship. There are a limited number of companies who can provide these services due to the size of the components involved.</p>	<ul style="list-style-type: none"> • Warehousing and transport services are considered high risk for modern slavery as this sector has a high prevalence of vulnerable workers. • Sub-contracting and short-term contracts are also common in the logistics business model, which may limit Goldwind Australia's visibility over working conditions.
<p>Facilities management</p> <p>Continued on next page</p>	<p>Includes cleaning, security, catering, waste, and maintenance services engaged by Goldwind Australia across corporate offices, wind farm assets and on-site accommodation.</p>	<ul style="list-style-type: none"> • Facilities management services commonly have low barriers to entry. A high proportion of employees are on temporary visas and are not native English speakers, which make these workforces particularly vulnerable to exploitation and modern slavery practices. • Cleaning services are considered to be a high-risk industry in Australia due to prevalence of breaches of workplace laws, proliferation of fraudulent contracting arrangements, below minimum wage payments and poor job security, occupational health and safety issues and poor ethical treatment of workers.

Area	Description	Description of identified inherent risk indicators
<p>Facilities management</p> <p>Continued</p>	<p>Includes cleaning, security, catering, waste, and maintenance services engaged by Goldwind Australia across corporate offices, wind farm assets and on-site accommodation.</p>	<ul style="list-style-type: none"> • Security services are also considered a high-risk sector in Australia due to high levels of sub-contracting, fraudulent contracting and poor working conditions and pay. • Facilities management services also use labour-hire agencies to fill labour shortages, which further decreases the visibility of the supply chain.
<p>Personal protective equipment (PPE)</p>	<p>Comprises of all the standard equipment used to protect Goldwind Australia employees and those entering Goldwind Australia sites, such as hard hats, safety glasses, high visibility workwear and jumpsuits.</p> <p>PPE is sourced by Goldwind Australia from multiple suppliers based in Australia who have supply chains both in Australia and overseas.</p>	<ul style="list-style-type: none"> • The PPE and related garments and textiles industries are considered be a high risk sector due to widely reported issues with poor working conditions and exploitative labour practices. • PPE is primarily manufactured in Asia – where there are many high risk countries in relation to poor labour practices and protections, increasing the likelihood of modern slavery practices. • Global surges to the demand for products required for COVID-19 safety protocols and the limited time in which to produce them, have led to claims being made against PPE manufacturers including excessive overtime, poor working conditions and practices amounting to forced labour.
<p>ICT hardware</p>	<p>Includes mobile phones, laptops, tablets, monitors, and e-waste.</p>	<ul style="list-style-type: none"> • Global ICT hardware supply chains are long, opaque and complex involving many tiers, limiting Goldwind Australia's visibility of working conditions throughout the supply chain. • These products are commonly manufactured utilising base-skilled workers in high risk countries/regions such as Asia and South East Asia. • There are known allegations of poor labour conditions in the ICT hardware supply chain including extremely low wages, long working hours, child labour and restrictions on freedom of association and right to collective bargaining.

Area	Description	Description of identified inherent risk indicators
Chemicals	Includes the range of chemicals, particularly oils and lubricants used by Goldwind Australia in the installation and maintenance of wind turbines.	<ul style="list-style-type: none"> As much as Goldwind Australia predominantly uses Australian-based suppliers, these products are commonly mined and processed from areas that have significant human rights issues with low labour rights protections.
Balance of plant materials	<p>Refers to the various supporting and auxiliary components of a power plant system required to produce energy.</p> <p>This plant includes electrical items like inverters, transformers, switchgear, and circuit breakers. Goldwind Australia subcontracts the building of balance of plants including the procurement of all components to electrical engineering consultancies.</p>	<ul style="list-style-type: none"> The uncertain origin of the materials used in the manufacturing of balance of plant components and the location of manufacturers heightens the risk of exposure to modern slavery, particularly in relation to high risk sectors and geographies.
Solar modules	<p>This category includes all components of a solar panel modules, importantly this includes polysilicon used in solar photovoltaic (PV) technology. Goldwind Australia is not currently actively procuring solar products, and it has not procured any solar modules in FY22, however it currently services one solar farm in New South Wales and which is 100% owned by another corporate entity within the Goldwind corporate group.</p> <p>This area has been identified on the basis that the current solar farm, or potential future commercial ventures may require solar modules to be procured directly or indirectly for Goldwind Australia. As such, the modern slavery risks associated with this product will need to be considered.</p>	<ul style="list-style-type: none"> In recent years, media and non-government organisations have published allegations of forced labour within the global solar supply chain. There is a heightened inherent risk of modern slavery in relation to high risk sectors and geographies for solar cell manufacturing or solar products or modules incorporating solar-grade polysilicon.

Our actions to assess and address modern slavery risks

Mandatory reporting criteria

Goldwind Australia continues to develop its foundational processes to identify and manage modern slavery within its operations and supply chain.

The engagement of the external leading MS Specialist in FY22 has enabled and supported us to create a modern slavery risk management framework, helped us assess and identify areas of risk to direct our effort towards, and supported us with further strengthening our approach to modern slavery.

In this Reporting Period, following the delivery of our modern slavery due diligence approach for the Clarke Creek Wind Farm TSI project (as highlighted in our previous Statement), focus has been on embedding process as well as reviewing implementation and delivery of the framework.

Learnings from this review strengthen the foundations of our approach towards the development of a business-wide modern slavery risk management approach that will form part of our continued efforts in FY23.

We are also pleased to report that all non-compliances were rectified following the independent third-party audit undertaken on our and our major supplier's manufacturing facilities earlier in the year as reported in our previous Statement. A similar audit for our new turbine is planned again for 2023 with our key suppliers.

The ongoing assessment of modern slavery risks in our and our suppliers' supply chain and operational activities has and will continue to be a focus for Goldwind Australia.

It is also pleasing to see some of our customers proactively partnering with us to collaboratively improve our approach to modern slavery towards setting better standards of best practice in our industry. We have found this collaboration especially beneficial in the assessment, review and ongoing improvement of our practices due to the diversity of insights and feedback our customers bring.

Modern Slavery Policy & Supplier Social Responsibility Code of Conduct

Goldwind Australia's Modern Slavery Policy forms the basis of our commitment and approach to addressing modern slavery in our operations and supply chains. This Policy was developed and adopted during the previous Reporting Period (FY21). The Modern Slavery Policy applies to all persons working for Goldwind Australia or on its behalf in any capacity, including agency workers, seconded workers, agents, contractors, external consultants, third-party representatives and business partners.

The Policy has been reviewed, refreshed and updated in the Reporting Period and will continue to be reviewed over the upcoming Reporting Periods to reflect Goldwind Australia's maturing modern slavery management and governance approaches.

In the current Reporting Period, Goldwind Australia also developed and launched our Supplier Social Responsibility Code of Conduct that outlines our requirements for our suppliers around labour rights, human rights, health and safety, environment, business ethics and management systems.

The Supplier Social Responsibility Code of Conduct promotes the implementation of corporate social responsibility including modern slavery and is applicable to all suppliers who conduct business with Goldwind Australia or participate in any activity related to Goldwind Australia.

All new suppliers to Goldwind Australia are now provided with the Supplier Social Responsibility Code of Conduct, and as modern slavery risk assessments are progressively rolled out across our Project Delivery and Service sites, suppliers that meet the criteria for assessment are required to validate their acknowledgement, agreement, understanding and acceptance of Goldwind Australia's Supplier Social Responsibility Code of Conduct.

Risk assessment

Supplier inherent risk assessments

Following the engagement of the external leading MS Specialist to conduct an in-depth inherent risk hotspot identification process, inherent modern slavery risks across Goldwind Australia's operations and supply chain were identified and these are listed in the 'Identified areas of inherent modern slavery risk' section above.

These risks now form part of our supplier risk assessment process that will be rolled out progressively to all our Project Delivery and Service sites.

Supplier residual risk assessments

Following the integration of feedback and improvements to the risk assessment process implemented for the Clarke Creek Wind Farm TSI project, the supplier risk assessment questionnaire and process has been updated.

As the supplier risk assessment process is rolled out progressively to all our Project Delivery and Service locations, suppliers who meet the assessment criteria will be required, on an annual basis, to complete their modern slavery assessment questionnaire that is reviewed by the local project team leads to assess supplier risk around modern slavery.

As part of the Modern Slavery Risk Management Framework, the risk assessment process considers and identifies areas of inherent risk to be managed and addressed through supplier assessments, training of employees, supplier reviews, Goldwind Australia's Supplier Social Responsibility Code of Conduct acknowledgement and understanding. Following the evaluation of risk, a suite of actions including tailored contractual clauses, action plans and the consideration of other effective risk management approaches such as social audits are implemented to manage the identified risk.

This framework has now been formalised and will be progressively rolled out to all parts of our business-wide approach to managing modern slavery risks. This important Modern Slavery Risk Management Framework will also see continuous improvement applied to it as the rollout occurs, and our business matures in our approach to effectively managing modern slavery.

Capability building

Building our capability to understand, identify and eliminate modern slavery from our business will continue to remain a priority.

In partnership with the external leading MS Specialist, during the Reporting Period, Goldwind Australia delivered compulsory modern slavery training to all employees that provided them with a well-grounded understanding of what modern slavery is and how they can identify modern slavery risks with suppliers

during the procurement process. New starters will also undertake this compulsory modern slavery training.

To further strengthen capability in modern slavery, and following learnings from our Clarke Creek Wind Farm TSI project, further training will be provided to project and site managers to roll out their own supplier risk assessments that will form part of their respective site's modern slavery risk management plans.

High-level modern slavery communication and awareness continued during the reporting period with an Executive-level briefing and organisation-wide communications including the promotion of Goldwind Australia's second Modern Slavery Statement communicated to all employees.

External stakeholder engagement

During the Reporting Period, Goldwind Australia engaged with customers directly to understand their expectations in relation to the management and reporting of modern slavery. It is also pleasing to see some of our customers proactively partner with us to collaboratively improve our approach to modern slavery towards setting better standards of best practice in our industry.

The Reporting Period also sees Goldwind Australia continue to actively participate in the Clean Energy Council's Modern Slavery Working Group to understand industry issues and opportunities to collaborate to address modern slavery risks within renewables supply chains.

Goldwind Australia has also continued to engage with its parent company, suppliers and other stakeholders to better understand how they are managing and monitoring modern slavery risks within its operations and supply chain.

Grievance mechanism

Goldwind Australia has a Whistleblower Policy and related processes that apply to both internal employees and also suppliers and their workers. Additionally, for internal employees there is also the Complaints Policy and process that can be used.

During the modern slavery training rollout during the Reporting Period, employees were also encouraged to report any suspected unethical, illegal or improper behaviour or suspected incidents of modern slavery through a number of channels including their manager, line of business Executive, the Head of ESG or the General Manager of Legal and Corporate Services.

Following a review as well as customer feedback, we will be considering the consolidation and updates of these policies that will include an independent grievance hotline with processes aligned to the UN Guiding Principles on Business and Human Rights guidelines.

Accountabilities and governance

The Goldwind Australia CEO has overall responsibility for ensuring that Goldwind Australia complies with its modern slavery commitments and obligations including those outlined in the Modern Slavery Policy and the Act.

The General Manager of Legal & Corporate Services is responsible for the overall monitoring and tracking modern slavery risks across Goldwind Australia's operations.

To reflect the importance Goldwind Australia places on Environmental, Social and Governance (ESG) including modern slavery, a Head of ESG role has been established to provide dedicated oversight and governance into this important area. The Head of ESG will work closely and in consultation with stakeholders including internal stakeholders, customers and external consultants to progress the delivery of initiatives.

Following the continued maturing of Goldwind Australia's modern slavery approach, the Modern Slavery Working Group that was established in the previous period to initiate efforts around modern slavery and to discuss progress and raise issues has ceased.

Previous participants from the Working Group will continue to be consulted and are forming part of the rollout of modern slavery risk assessment approach in their respective business areas where wider feedback and issues raised are being captured.

Progress around modern slavery is now reported back regularly to Goldwind Australia's Executive Management Team as well as more broadly to employees such as through all-staff updates.

Assessing the effectiveness of our actions

Mandatory reporting criteria

Following learnings from our Clarke Creek Wind Farm TSI project that included the rollout of ongoing supplier risk assessments and a modern slavery risk management plan for the project, Goldwind Australia has implemented a Modern Slavery Risk Management Framework to evaluate the effectiveness of our modern slavery risk management systems and controls across Goldwind Australia's operations and supply chains.

This framework will continue to be improved and updated as it gets progressively implemented across the business as well as reflect the maturity of the organisation in this area.

The ESG function referenced in the previous section also has key performance indicators (KPIs) that includes the effective implementation of modern slavery actions as well as the review and continued improvement of current processes and approaches.

Goldwind Australia will continue to engage with all our key stakeholders including our employees, Executive team, parent company, suppliers and other stakeholders including our customers to assess and gather feedback on the effectiveness of our management and monitoring of modern slavery risks within our operations and supply chain.

Consultation with associated entities

Mandatory reporting criteria

Goldwind Australia does not have any wholly owned subsidiaries or control any other entities, however we are continuously in conversation with the related Goldwind International subsidiaries (including project specific entities) operating in Australia.

These entities effectively operate as a single business in Australia under the management of one senior executive management team led by the CEO and so adhere to the same modern slavery policies and processes as Goldwind Australia. The Goldwind Australia senior executive management team have been made aware of, support and approve the contents of this Statement.

While key actions and improvements continue to be implemented, we acknowledge that significant investments are required to develop and operationalise a rigorous company-wide Modern Slavery Risk Management Framework.

Planned next steps

The Reporting Period has seen a number of actions and initiatives delivered and we recognise and acknowledge that continues effort and investment is needed to develop and operationalise our approach to modern slavery in Goldwind Australia.

Actions we will commit undertake in the next Reporting Period (FY23) include:

Modern Slavery Policy & Supplier Social Responsibility Code of Conduct

Conduct ongoing revision and updates where needed to our Modern Slavery Policy and Supplier Social Responsibility Code of Conduct to reflect our maturing approach to modern slavery.

Risk assessment

Continue to conduct due diligence on suppliers that are related to, or involved in our identified areas of inherent modern slavery risk that includes conducting regular risk assessments. Goldwind Australia also commits to;

- having a modern slavery risk management plan for any new Project commenced in 2023.
- having a modern slavery risk management plan in place for all our Service sites in 2023.
- including a dedicated section on modern slavery assessment that forms part of Goldwind Australia's new procurement and contract approval form that will need to be completed as part of a supplier approval process. This will also involve training employees on this supplier approval process.

Goldwind Australia also commits to conducting an independent second-party audit on our, and our major suppliers' manufacturing facilities for our new wind turbine. This audit will cover areas such as Social & Labour, Business Ethics, Health & Safety and Responsible Procurement and we commit to sharing high-level results in our next Report – with detailed results available to our customers.

Capability building

Further to the compulsory modern slavery training for all employees including new starters now in place to identify and manage modern slavery risks, Goldwind Australia commits to progressively roll out tailored delivery of training to;

- Project managers and their key stakeholders prior to the commencement of procurement for their project sites to enable better capability in supplier risk assessments and the formulation of their tailored modern slavery risk management plan for their project.
- Service managers and their key stakeholders to enable better capability in supplier risk assessment and the formulation of their tailored modern slavery risk management plan for their sites, and
- Functional and support employees who undertake procurement or appoint suppliers.

Goldwind Australia also commits to reviewing and improving our management of modern slavery risks through our Modern Slavery Risk Management Framework – continually applying a continuous improvement approach based on feedback, efficiency and effectiveness improvements, and maturing of our approaches in this area.

Stakeholder engagement

We will be continuing to engage with key industry stakeholders and business partners to strengthen our approach to preventing and managing modern slavery risks. This includes ongoing engagement with our customers and the Clean Energy Council to advance industry approaches to manage modern slavery risks within the renewable energy supply chain.

Board approval

Board of director approval from Goldwind Australia Pty Ltd was obtained for this Statement in accordance with the requirement under the Act. This statement is signed by the CEO of Goldwind Australia Pty Ltd for the Reporting Period 1 January 2022 to 31 December 2022.

Signature:



Ning Chen
Chief Executive Officer
Goldwind Australia

Effective date: 18 May 2023