

Modern Slavery Statement

Contemporary Amperex Technology Australia Pty Ltd

Introduction

Contemporary Amperex Technology Australia Pty Ltd (ACN: 678 326 755) (**CATA**) is committed to assessing and addressing the risks of modern slavery occurring in our operations and supply chains and we are proud to make and lodge this Modern Slavery Statement under the *Modern Slavery Act 2018* (Cth) (the **Act**).

What is modern slavery?

The term "modern slavery" describes situations in which coercion, threats or deception are used to exploit victims and undermine their freedom. Modern slavery takes many forms including slavery, servitude, forced labour, debt bondage, deceptive recruiting for labour or services, the worst forms of child labour (where children are exploited through slavery like practices or exposed to hazardous work) and forced marriage.

Reporting entity and reporting period

For the purposes of this Modern Slavery Statement and the Act the reporting entity is CATA.

This Modern Slavery Statement covers CATA's reporting period of 1 January 2025 to 31 December 2025.

Our values and commitments

CATA respects human rights as recognised by the principles set out in the United Nations Universal Declaration of Human Rights, the International Covenant on Economic Social and Cultural Rights, the International Covenant on Civil and Political Rights and the core labour standards set out in the International Labour Organisation's Declaration on Fundamental Principles and Rights at Work.

We are committed to operating our business lawfully and ethically and we are committed to only working with suppliers that are aligned to our values. We expect everyone in our supply chains to operate in accordance with all applicable modern slavery laws.

We value and are committed to complying with all laws regarding corporate social responsibility, environmental protection, workplace safety, staff inclusion and diversity.

Our structure, operations and supply chains

Structure

CATA is a private company which was registered in Australia in June 2024. CATA is part of the global group of Contemporary Amperex companies and is wholly owned by its parent company located in Hong Kong, Contemporary Amperex Technology (Hong Kong) Limited (**CATL HK**), which in turn is wholly owned by Contemporary Amperex Technology Co., Limited (**CATL**).

CATA does not own or control any entities.

Operations

CATA's operations focus on the distribution of CATL-branded battery energy storage systems products as well as associated after sales services in the Australian market.

CATA's head office is located at Level 32, 200 George Street, Sydney, New South Wales. As at the date of this Statement, CATA employs approximately 44 employees in Australia.

Supply chains

We are firmly committed to providing superior products and services to our customers which in turn requires well managed, transparent, ethical and compliant supply chains. We rely on positive and open relationships with our suppliers so we can monitor and enhance our practices relating to our supply chains.

In preparing this Statement we have spent some time considering and mapping supply chains (as described in more detail later in this Statement).

CATA's primary supplier (by volume of product/service supplied and by dollar) by some margin is CATL HK, which supplies CATA with CATL-branded battery energy storage systems for on-sale into the Australian market. CATA's other major suppliers include providers of various "after sales" and consultation services – all of whom are located/headquartered in Australia.

Outside of these primary suppliers, CATA's supply chains include a small number of Australian-based suppliers of goods and services including:

- IT software and hardware;
- telecommunications equipment;
- office supplies;
- sales, advertising and marketing services;
- security services;
- cleaning services; and
- professional services (such as legal and accounting services).

Risk of modern slavery practices in our operations and supply chains

We sought guidance on how to identify risks of modern slavery in our operations and supply chains from publicly available resources and expert advisers to develop an understanding of our potential risk areas.

While conducting our initial analysis we referred to resources such as the Global Slavery Index 2023, the Commonwealth Modern Slavery Act 2018 Guidance for Reporting Entities and materials and information on risks of modern slavery produced by the International Labour Organisation.

We considered relevant risks of modern slavery practices by reference to a range of intersecting factors such as business activities, labour force structure, and social, political and environmental conditions in the geographical locations relevant to our operations and supply chains.

Operations

Our operations are described in more detail above.

CATA's employees are employed on full-time or part-time employment contracts which were prepared by reference to employment laws including the *Fair Work Act 2009* (Cth) and the National Employment Standards. We prioritise the health, safety, and well-being of all our team members and we have established supporting structures, policies and procedures for the benefit of all employees.

CATA does not own, operate or maintain any battery or other production or manufacturing factories or facilities. As outlined earlier in this Statement, CATA primarily focuses on the distribution of CATL-branded battery energy storage systems products as well as associated after sales services in the Australian market.

In light of the above, we have determined that our overall risk of causing, contributing or being directly linked to modern slavery through our operations is very low.

Supply Chains

As mentioned above, the products CATA sells are supplied by CATL HK, and these products are ultimately sourced from CATL. CATL has implemented a comprehensive range of measures to manage the risks associated with modern slavery practices within its operations and supply chains.

In relation to actions taken, CATL has established a comprehensive end-to-end traceability management mechanism covering both internal and external processes, ensuring compliance and transparency throughout the entire production life cycle from raw materials to finished products. Internal traceability focuses on the full process from raw material procurement and manufacturing to internal logistics, ensuring compliance and traceability across all production stages. External traceability emphasizes control over raw material sources, supplier compliance, and supply chain transparency, ensuring that business partners meet applicable regulatory requirements and CATL's internal standards. Leveraging a self-developed traceability management system, CATL is able to front-load the collection of customer traceability requirements and implement closed-loop risk management across the full production cycle. This ensures that traceability requirements identified during the development and mass production phases are fully addressed. Through targeted investigations and Bill of Materials controls, CATL drives effective risk closure and ensures the consistent implementation of traceability requirements at each stage.

Through efficient coordination between internal and external traceability processes, CATL achieves comprehensive control over the entire production process, ensuring compliance with market and regulatory traceability requirements. CATL closely monitors updates to relevant laws, regulations, and customer's inquiries and requirements, further expanding the scope of traceability management, and added supply chain mapping data collection for multiple critical materials. In parallel, CATL has conducted targeted capability building training for suppliers to further strengthen supply chain traceability. In addition, CATL has provided systematic training on new functionalities of the traceability management system, optimized supplier information reporting and management processes, and enhanced collaboration efficiency. The scope of capability-building initiatives was also expanded to a broader range of upstream suppliers, promoting wider compliance with traceability management requirements across the supply chain.

CATL was awarded a Silver Medal by EcoVadis (an independent and highly regarded consultancy and think tank). This is a sustainability recognition awarded to companies with high performing and well-developed sustainability management systems across key areas including, relevantly, ethics and labour and human rights. CATL was ranked within the top 8% of all companies assessed globally by EcoVadis. The scope of the evaluation for this assessment/award covered CATL's entire group including all subsidiaries and branch offices (encompassing CATA). CATL has also achieved outstanding recognition for its ESG performance. Further, CATL was also listed in the 2026 S&P Global Sustainability Yearbook, with a score of 65 in the S&P Corporate Sustainability Assessment for 2025, ranking in the top 8% within the electrical components and equipment industry.

Also in relation to actions taken, CATL has implemented a package of codes, statements and policies which apply to all entities in the CATL group, including the:

- **CATL Code of Conduct** – a detailed and extensive code setting out the group's corporate moral philosophy and code of business conduct. The code includes provisions which make clear that respect for all laws, human rights and other international norms, culture and customs are central proponents of our company philosophy and explicitly refers to our zero-tolerance approach to the use of forced labour.

- **Compliance Statement on Prohibition of Forced Labour** – a statement which confirms our group’s commitment to systematically identifying, preventing and mitigating risks of forced labour and other modern slavery issues within our operations.
- **Responsible Mineral Resources Supply Chain Grievance Mechanism Statement** – a document which sets out our commitment to the open and transparent handling of any grievances from stakeholders regarding the mining, logistics, trade, export and processing of minerals which form part of our supply chain globally.
- **Sustainable Supply Chain Management Policy** – a policy which is overseen by our Corporate Sustainability Management Committee and which sets out our expectations of suppliers to all entities in the CATL group (including compliance with our Supplier Code of Conduct) and which makes clear that suppliers must not engage in any form of modern slavery. This policy contains our global commitment to not engaging with any supplier that does not meet our minimum ESG related requirements.
- **Due Diligence Management Policy for Responsible Mineral Resources Supply Chain Statement** – a document which recognises the high risk of modern slavery inherent in sourcing mineral resources for use in batteries and which, accordingly, sets out our stance in being firmly committed to upholding responsibilities under the UN Guiding Principles on Business and Human Rights and core International Labour Organisation Conventions. This policy confirms that we as a group adhere strictly to ethical sourcing principles and do not use minerals sourced from, or affected by, conflict areas. The policy expressly requires all suppliers to refrain from sourcing conflict affected minerals in their operations or engaging in any activities that violate the policy.
- **Supplier Code of Conduct** (and connected **Supplier Undertaking**) which sets out, in a high level of detail, our expectations of all suppliers including that they must, in all levels of their activities, operate in full compliance with the laws, rules and regulations of the jurisdictions in which they operate (and must at a minimum require their upstream suppliers to acknowledge and implement the Code). All suppliers are required to review and sign the connected Undertaking under which they commit to complying with our Supplier Code in full. Currently, 100% of our suppliers have signed this Undertaking or similar contract clauses.

Further, under the guidance of the Corporate Sustainability Management Committee, a Supply Chain Sustainability Management Committee has been established to coordinate the supply chain system, coordinated by CATL’s sourcing department and with the participation of relevant business departments. Together, they promote the management of supply chain sustainability and the implementation of responsible mineral due diligence management and manage the construction of responsible supply chains in a systematic and standardized manner. Importantly, CATL’s Supply Chain Sustainability Management Committee is tasked with identifying, managing and controlling risk exposures associated with CATL’s business which includes the risks of modern slavery occurring in its operations and supply chains and, therefore, ultimately in the operations and supply chains of CATA.

Last but not least, for core suppliers, CATL utilises a value chain sustainable transparency audit tool called “CREDIT” (developed by CATL), which covers six modules:

- sustainable development governance system;
- business ethics;
- environmental protection;
- labour practices;
- sustainable procurement; and
- critical mineral management.

During the relevant reporting period CATL also conducted training for suppliers at all levels of CATL’s supply chains. As part of this, certain key suppliers were required to sign “sustainability agreements”

under which they undertake to support supply chain transparency and responsible sourcing principles/processes.

At a global level CATL has also established a supplier risk identification and assessment mechanism. This mechanism applies at both supplier engagement stage and in relation to the ongoing review of existing suppliers. The mechanism assesses and evaluates the social responsibility risk status of CATL suppliers. The mechanism includes consideration of issues such as environmental protection, critical minerals usage, child labour issues, bribery and corruption, the assessment of working hours and working conditions and business ethics.

CATL sets up dedicated reporting hotline and email and accepts complaints or reports from partners (clients, suppliers, etc.) and the public against suspected violations of Code of Conduct and laws. As a downstream partner and a subsidiary of CATL, any CATA employee, partner, client, or supplier who wish to report an incident or suspected incident of modern slavery in the operations or supply chains is able to utilise CATL's Code of Conduct Commission reporting hotline and email address for this purpose.

In relation to CATL's contractual arrangements with suppliers and procurement frameworks, CATL includes provisions which ensure compliance with applicable laws relating to environment, health and safety, human rights and responsible supply chain management.

In light of the above, we consider that the overall risk of causing, contributing or being directly linked to modern slavery through CATL's and CATA's supply chains is very low.

Actions taken by CATA to assess and address modern slavery risks

Actions

In addition to the actions which are expressed earlier in this Statement, CATA pays particular attention to the following 11 indicators associated with forced labour: abuse of vulnerability, deception, restriction of movement, isolation, physical and sexual violence, intimidation and threats, retention of identity documents, withholding of wages, debt bondage, abusive working and living conditions and excessive overtime.

CATA commits to proactively identifying, preventing and mitigating forced labour risks within our own business operations and supply chains, as well as addressing and remedying any instances that may arise. CATA is dedicated to implementing targeted measures and ongoing monitoring to ensure compliance, while continuously advancing sustainability and ethical practices throughout CATA's entire operations and supply chains.

For CATA, the prohibition of forced labour represents a long-term commitment: we will steadily refine our forced labour risk management system in line with the nature of our business activities, as well as changes to CATA's scale and organisational structure.

CATA is committed to providing necessary training to help workers and suppliers identify the impacts of their operational activities on forced labour risks, and to encourage them to make corresponding commitments.

CATA undertakes to comply with all relevant requirements of forced labour regulations, and CATA ensures that this commitment covers all workers (including all forms of employment) and the entire business operation process. Also, CATA strictly adheres to applicable human rights laws and regulations in all regions where it operates.

Building on this foundational framework, CATA is committed to working to continuously improve its assessment and addressing of modern slavery risks and will be looking into the following measures in future reporting periods:

- the drafting and implementation of a standalone CATA-specific modern slavery policy;
- conducting training and information sessions on that CATA policy and on this Statement for the benefit of all CATA staff;
- preparing and implementing a CATA-specific Supplier Code of Conduct;
- preparing and implementing a CATA-specific Supplier Questionnaire to enhance our supplier due diligence processes in Australia;
- developing processes to discuss and review engagement and feedback between key areas of the business in relation to modern slavery risks; and
- updating CATA supplier contracts to include modern slavery specific clauses including commitments to eradicate modern slavery in operations and supply chains, audit rights, and suspension and termination rights.

CATA aims to set up an internal committee/working group similar to CATL's Supply Chain Sustainability Management Committee, which will be focused solely on CATA's supply chains.

Remediation processes

CATA is committed to investigating any report of potential incidences of modern slavery occurring anywhere in our operations or supply chains.

In line with our values and commitments we confirm that we would take all appropriate steps to rectify any confirmed incidences of modern slavery in our operations or supply chains. We would work collaboratively with our suppliers and, if necessary, their suppliers, to achieve these goals. If, for any reason, progress in this regard was not possible, we would take steps to sever our connections to the offending supplier or sub-supplier.

Assessing the effectiveness of actions taken to assess and address modern slavery risks

We assess the effectiveness of our programs by engaging with our suppliers and assessing the quality of information we obtain through our operations and due diligence processes as detailed above.

CATA is also committed to periodically reviewing and updating our policies, codes and procedures to ensure as best we can they are effective and are being followed in practice.

Further, we aim to set up CATA-specific processes to discuss and review engagement and feedback between key areas of our businesses in relation to modern slavery.

Consultation

As outlined above, CATA does not own or control any other entities.

Other relevant information

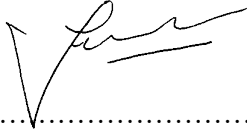
We are committed to managing actively (assessing and addressing) the risks of modern slavery practices occurring in our operations and supply chains.

We strive to ensure that our business activities are executed with fairness, honesty and integrity, while we also aim for full compliance with all relevant laws.

Approval

This Modern Slavery Statement is made in accordance with section 13 of the *Modern Slavery Act 2018* (Cth).

This Statement was approved by the Board of Directors of CATA on 30 June 2026.



.....
Signed for and on behalf of Contemporary Amperex Technology Australia Pty Ltd

John H. Kwon, Director, Contemporary Amperex Technology Australia Pty Ltd

Date: 30 June 2026