

# Modern Slavery Statement

Reporting Entity: CMOC Mining Pty Ltd  
Reporting Period: 1 January 2022 to 31 December 2022  
Approved: 24 February 2023

# 1.0 INTRODUCTION

## 1.1 Modern Slavery Statement

This Modern Slavery Statement (**Statement**) has been prepared pursuant to Section 13 of the *Modern Slavery Act 2018* (Cth) by CMOC Mining Pty Ltd (ACN 164 997 317), and its controlled entity CMOC Mining Services Pty Ltd (ACN 165 717 895), (**Northparkes**) for the reporting period 1 January 2022 to 31 December 2022.

## 1.2 Who we are

Northparkes Mines is a copper-gold producer located in the Central West of New South Wales. The copper deposits at Northparkes were first discovered in 1976 and mining commenced in 1993, and Northparkes has approval to continue mining until 2032. Since commencement of mining, Northparkes has produced copper and gold from numerous deposits using a range of surface and underground mining methods.

Northparkes was the first mine in Australia to use the highly efficient block cave mining method in 1997 when we achieved full-capacity production from our E26 Liff 1 Block Cave. Then, in 2015, Northparkes laid claim as the world's most automated underground mine when we became the first miner to achieve 100 per cent automation of loaders in our E48 block cave mine.

Today, we continue to produce from our E48 Block Cave mine along with our adjacent E26 Sub-level Cave mine and E26 Liff 1 North Block Cave.

Northparkes has been in continuous production for more than 25 years and its future is underpinned by our vision to achieve 'A century of mining together' and our values of Zero Harm, One Team, Life Balance and Improvement.

## 1.3 What is Modern Slavery

Modern slavery is defined as including eight types of serious human exploitation: trafficking in persons, slavery, servitude, forced marriage, forced labour, debt bondage, deceptive recruiting for labour or services, and the worst forms of child labour (i.e. situations where children are subjected to slavery or engaged in hazardous work).<sup>1</sup>

The Walk Free Foundation has written that 'modern slavery is a complex and often hidden crime that crosses borders, sectors, and jurisdictions' and, together with the

International Labour Organization (**ILO**), estimated that 40.3 million people were living in modern slavery in 2016,<sup>2</sup> including 16 million people in private sector supply chains.

In Australia, it has been reported that the individuals working in agriculture, construction, domestic work, meat processing, cleaning, hospitality and food service industries are more likely to be impacted by modern slavery practices than other industry sectors.<sup>3</sup>

The 2018 Global Slavery Index, published by Walk Free Foundation, found that 'businesses and governments in G20 countries are importing products that are at risk of modern slavery on a significant scale' and recommended that businesses directly address the risk of modern slavery in their supply chains by conducting due diligence in supply chains and ensuring the ethical recruitment of migrant workers.

The *Modern Slavery Act 2018* (Cth) (the **Act**) requires all entities based in Australia, or conducting business in Australia, and having an annual consolidated revenue of \$100 million or greater to assess the risk of modern slavery in their operations and supply chains and publish a modern slavery statement to report on its activities to mitigate and address those risks.

The Act is designed to encourage businesses to take active steps toward the combat of modern slavery in operations and supply chains. To this end, section 16 of the Act requires each modern slavery statement to address the following mandatory criteria:

1. identify the reporting entity
2. describe the structure, operations and supply chains of that entity
3. describe the risks of modern slavery practices in its operations and supply chains including those of the entities it owns or controls
4. describe the actions taken to assess and address the risks of modern slavery in its operations and supply chains
5. describe how the effectiveness of those actions is assessed
6. describe the process of consultation with any entities that the reporting entity owns or controls
7. include such other information that the entity considers relevant

This is Northparkes' **third** Modern Slavery Statement (**Statement**).

1. *Modern Slavery Act 2018* (Cth) section 4.

2. Walk Free Foundation, *The Global Slavery Index 2018* (2018, The Minderoo Foundation Pty Ltd).

3. Global Compact Network Australia, *Effective Modern Slavery Grievance Mechanisms: A Case Study Publication for Business* (March 2021)

Northparkes Mines  
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# Our values



## Zeroharm

Zero Harm is our approach to every aspect of our operations. We care for our people, our environment and the community in which we live and work.



## Oneteam

We proudly work together towards our shared purpose.



## Lifebalance

We realise the potential in everyone, support their wellbeing and enjoy what we do.



## Improvement

We will be better tomorrow, than we are today.

## 2.0 MANDATORY CRITERIA

### 2.1 Identify the reporting entity

The reporting entity is CMOC Mining Pty Ltd (ACN 164 997 317) with its registered office address at 113 Northparkes Lane, Goonumbla, New South Wales 2870, Australia.

### 2.2 Describe the reporting entity's structure, operations and supply chains

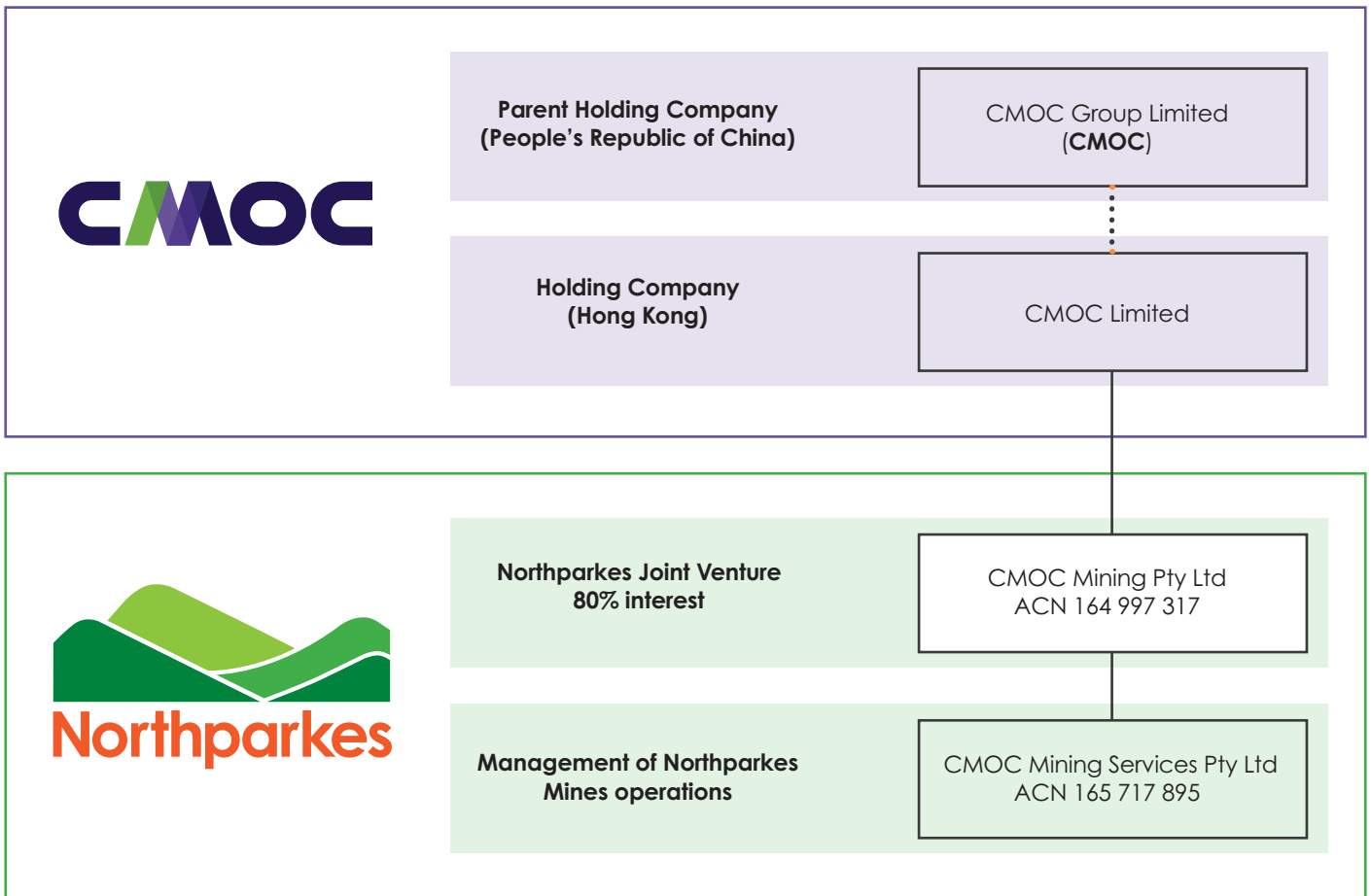
#### 2.2.1 Structure

CMOC Mining Pty Ltd (**CMOC Mining**), an Australian private proprietary company limited by shares, is wholly owned by CMOC Limited (a company domiciled in Hong Kong) and ultimately owned by its parent holding

company CMOC Group Limited (**CMOC**) a Chinese private holding company listed on the Hong Kong Exchanges (HKEX: 03993) and Shanghai Stock Exchange (SSE: 603993).

CMOC Mining owns an 80% participating interest in the Northparkes Joint Venture, an unincorporated joint venture between CMOC Mining (80%) and various entities of Sumitomo Corporation (20%).<sup>4</sup> CMOC Mining manages the operations of the Northparkes Joint Venture with its wholly owned subsidiary CMOC Mining Services Pty Ltd, also an Australian private proprietary company limited by shares, under the business name of Northparkes Mines.

As CMOC carries on its business in Australia through its subsidiaries CMOC Mining and CMOC Mining Services Pty Ltd, we collectively refer to CMOC's businesses as CMOC-Northparkes (**Northparkes**).



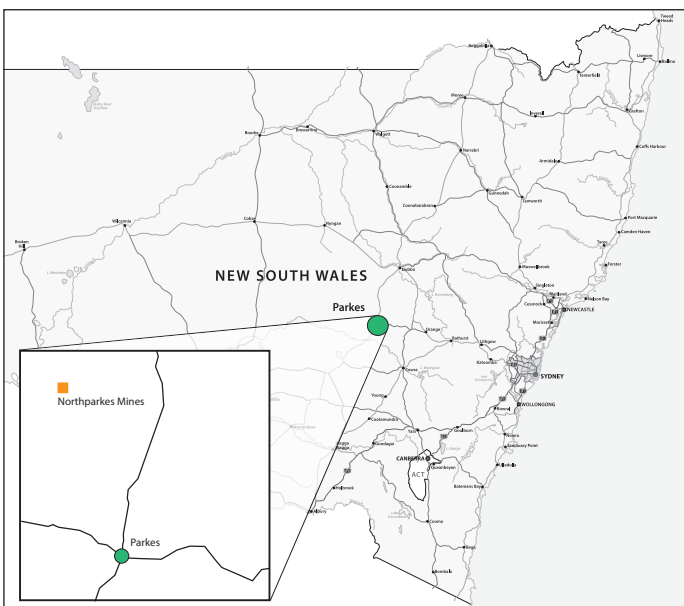
4. The minority participating interests in the Northparkes Joint Venture are Sumitomo Metal Mining Oceania Pty Ltd (13.3%) and SC Mineral Resources Pty Ltd (6.7%) (collectively **Sumitomo**). This is not a supporting statement for Sumitomo.

## Northparkes Mines

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#### 2.2.2 Operations

Northparkes operates a copper and gold mine located 27 kilometres north-west of Parkes, in the Central West of New South Wales, Australia. We also undertake further mineral exploration and farming around our mining operations and within our local region.



During the reporting period:

**Mining** – Northparkes produced 5.96 million tonnes of ore from two operating underground mines, known as the E26 Lift 1 North Block Cave and the E48 Block Cave and 1.63 million tonnes from our surface crushing.

**Processing** – Northparkes processed 7.60 million tonnes of ore through its surface Ore Processing Plant and shipped 111.91 thousand tonnes of copper concentrate for the seaborne market.

**Rosedale TSF Stage 3** – Northparkes progressed the construction of a 3.8m raise in the Rosedale tailings dam to provide an additional 13.8Mt of storage capacity. It is expected that the Rosedale Stage 3 raise will be completed in April 2023.

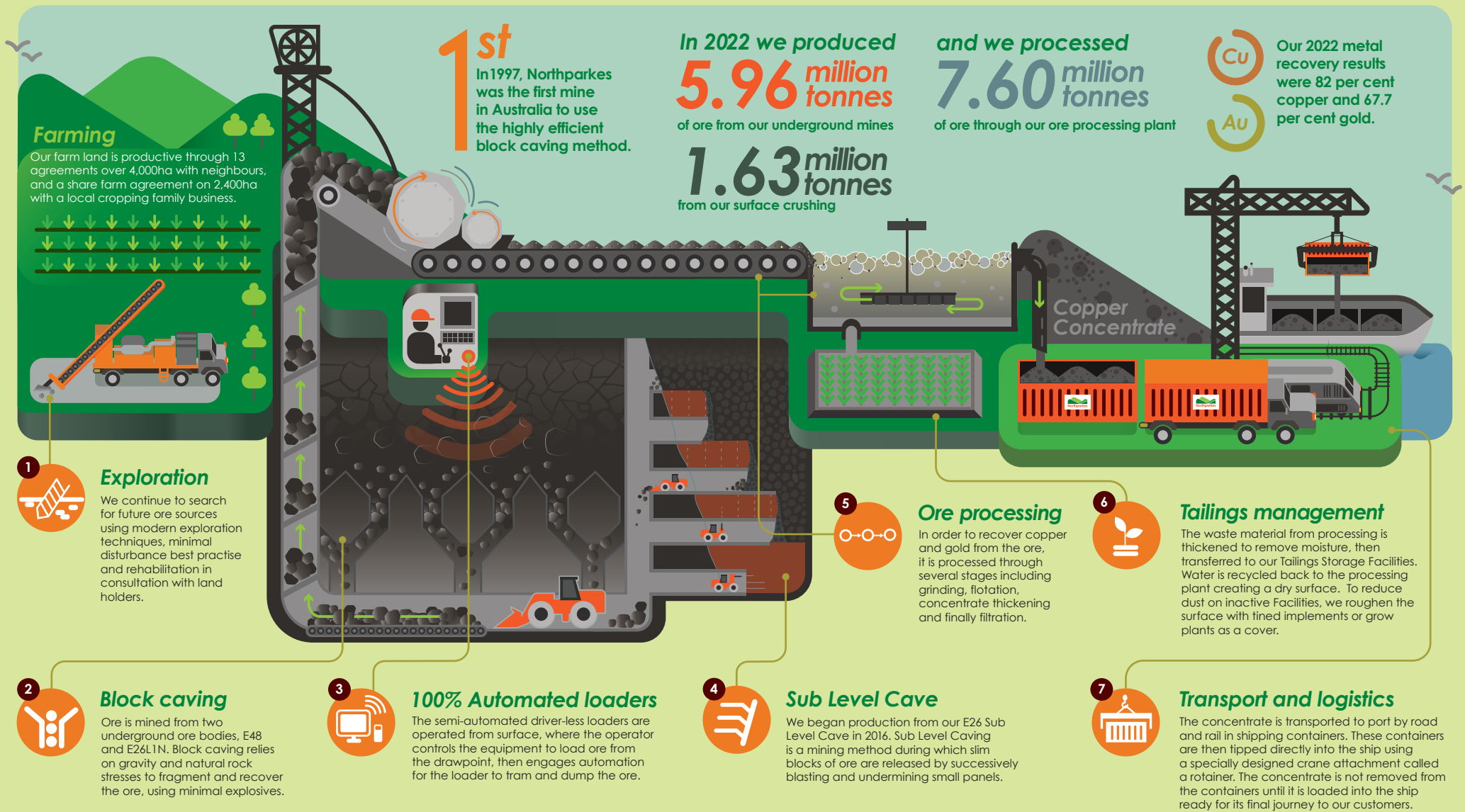
**E31 & E31N Open Cut Mining Project** – two new open cuts are planned for 2023 to extract ore closer to the surface. In preparation for these open cuts, in the latter half of 2022 these open cuts were pre-stripped to provide material for a tailings dam wall lift on the Rosedale Tailings Storage Facility. The new ore bodies, known as E31 and E31N, will deliver 5.8 million tonnes of ore with a gold grade of 0.78 grams per tonne, and 0.38 per cent copper.

Northparkes is managed as a fully integrated mining operation with each of the following core functions performed from the mine site:

- Community Relations
- Exploration & life of mine studies
- Mining & Processing operations
- Health, Safety and Environment
- Administration and finance
- Procurement & Supply
- Logistics & Shipping

At 31 December 2022, Northparkes had 413 direct employees.

# Northparkes Value chain



## Northparkes Mines

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#### 2.2.3 Supply chains

Northparkes procures goods and services for all aspects of its value chain. As such, Northparkes' supply chain encompasses a range of sectors such as:

- maintenance labour
- electrical, safety and industrial consumables
- mining consumables and explosives
- processing consumables
- equipment and spare parts manufacture
- equipment hire
- labour hire
- civil, mechanical and electrical construction labour
- energy, fuel and hydrocarbons
- road, rail and ocean freight services
- professional services and consultants

Northparkes engages the market with a risk and value-based approach with resultant supply contracts ranging from one-off packages for low value and low risk supply up to formal, multi-year agreements for high risk or high value supply.

Throughout 2022, Northparkes sourced approximately \$171 million in goods and services from 687 suppliers. 100% of these suppliers are 'tier 1' being suppliers Northparkes directly contracts with.

A breakdown of Northparkes' suppliers by geographic location is as follows:

Country	Count	Percentage of annual spend
Australia	666	96.94%
China	1	0.15%
Canada	1	0.15%
United Kingdom	12	1.75%
Japan	2	0.29%
Netherlands	2	0.29%
Norway	1	0.15%
USA	2	0.29%

Australian suppliers can be further broken down by State and Territory as follows:

State/Territory	Count	Percentage of annual spend
ACT	1	0.15%
Northern Territory	0	0.00%
NSW	482	72.37%
Queensland	68	10.21%
South Australia	10	1.50%
Tasmania	1	0.15%
Victoria	61	9.16%
Western Australia	43	6.46%

#### 2.3 Describe the risks of modern slavery practices in the operations and supply chains of the reporting entity and any entities it owns or controls

Northparkes does not condone modern slavery practices in its operations or supply chains.

Northparkes promotes fair treatment for all workers, contractors and visitors to its **operations**. However, we procure services from industries identified to be of higher risk of modern slavery practices, being cleaning and construction labour, and acknowledge that modern slavery practices may be difficult to detect.

Northparkes is aware of its ability to cause, contribute to, or be directly linked to risks of modern slavery. Instances of how these risk may arise include:

1. As Northparkes owns and operates its own mines - using labour that is directly contracted with it - there is an inherent risk that through that direct relationship the labour being used on-site may be exploited.
2. On the various projects Northparkes was a party to in 2022, there is a risk that managers on those projects used cheap labour and Northparkes may have been unaware of exploitative practices on-site.
3. There is an inherent risk that the PPE used by Northparkes' employees may have been manufactured by an entity that was procuring from a supplier using forced labour.

In our **supply chains**, the risks of modern slavery practices may be more pronounced as we procure approximately \$171 million in goods and services across a range of products, industries and geographies.

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- **Product risks** – The Walk Free Foundation reports that the top 5 imported products to Australia at risk of modern slavery are electronics, garments, fish, rice and cocoa.<sup>5</sup> Therefore, Northparkes has identified that the following products sourced through its supply chains are of increased risk of modern slavery:
  - employee uniforms and personal protective equipment (**PPE**)
  - laptops, computers and mobile phones
- **Geography risks** – The Walk Free Foundation reports that the Asia/Pacific regions had the highest prevalence of forced labour in the world. Northparkes procures the majority of materials required for its operations from within Australia and the Asia-Pacific region. Therefore, a higher risk of modern slavery practices may be present for products imported from the Asian region. The products sourced by Northparkes from within this region includes:
  - electrical, safety and industrial consumables
  - grinding media
  - mill liners and wear plates
  - flotation chemicals and reagents
  - ocean freight
- **Internal risks** – Northparkes is also aware of the increased risk of modern slavery within the premises of its own business and operations and that this is more likely to occur to potentially vulnerable workers such as cleaning and security contractors.
  - Taking action to mitigate, prevent and redress risks or impacts of modern slavery in our operations and supply chains
  - Ensuring compliance with all modern slavery laws and reporting requirements
  - Reviewing the effectiveness of our business practices and continually improving them to achieve the commitments set out in the policy

**Northparkes Mines Code of Conduct** applies to all employees, contractors and visitors to Northparkes' site. The Code of Conduct defines **unacceptable conduct** as including conduct that:

- is unethical, inappropriate or is in breach of the Code or Northparkes Values, policies or procedures
  - this includes the use of modern slavery practices in Northparkes' operations or supply chains which is expressly prohibited by the Code of Conduct
- does not comply with legal requirements or legislation within Australia or any country that a Northparkes employee conducts business
- has the potential to cause illness or injury to any person

The Code of Conduct describes Northparkes' business standards for all employees, contractors and suppliers, stating 'We all must comply with laws and regulations whether they are here in Australia or representing Northparkes in another part of the world' and 'as a business we must maintain high ethical standards in the acquisition of goods and services.'

The Code of Conduct describes modern slavery and reiterates Northparkes' prohibition on modern slavery practices and its commitment to and means of proactively identifying and addressing modern slavery risks in its operations and supply chains, the expectations and obligations of its suppliers with respect to modern slavery and the expectations of employees, contractors and visitors to speak up if they have reason to suspect that another person or supplier is engaging in modern slavery practices.

**Northparkes Mines Modern Slavery Management Plan** was adopted on 31 January 2022 and applies to all activities undertaken by Northparkes within its own operations and also to all aspects of Northparkes' supply chains. The Modern Slavery Management Plan was developed to implement the commitments set out in the Northparkes Modern Slavery Policy and to ensure compliance with the objectives and reporting requirements of the *Modern Slavery Act 2018* (Cth). The Modern Slavery Management Plan essentially identifies and assesses the risks of modern

## 2.4 Describe the actions taken by the reporting entity and any entities it owns or controls to assess and address these risks, including due diligence and remediation processes

**Northparkes Mines Modern Slavery Policy** was adopted on 20 August 2021 and applies to all personnel involved in Northparkes' operations and supply chains, including all directors, managers, employees, labour hire staff, agents, contractors and suppliers. The Modern Slavery Policy documents Northparkes' commitment to:

- Achieving Zero Harm for all persons whether in our operations, supply chains or the community
- Implementing, reviewing, and continually improving our businesses' due diligence practices for assessing products, suppliers and supply chains
- Assessing the risks of modern slavery in our operations and supply chains

5. Walk Free Foundation, The Global Slavery Index 2018 (2018, The Minderoo Foundation Pty Ltd).



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slavery in Northparkes' operations and supply chains and describes in detail the control measures which have been, or will be, implemented in order to appropriately mitigate and manage those risks, assigning timeframes and responsibilities for all control measures to ensure accountability, to enable Northparkes to monitor its progress and to ensure compliance with the *Modern Slavery Act 2018* (Cth).

**Health, Safety and Environment Policy** says "We care about people and the world in which we live. We recognise that excellence in managing health and safety, and commitment to environmental responsibility and protection are essential to our long-term vision of a century of mining together." To this end, Northparkes is committed to "actively pursuing and sharing leading practices and new approaches in key health, safety and environment areas, in line with our core value of continuous improvement."

**Northparkes' Procurement Policy** says, 'we care about people and the world in which we live' and espouses our commitment to 'maintaining ethical and lawful business practices and standards.' As such, Northparkes implements a risk-based approach for procurement while engaging the market in a manner that seeks to leverage value in supply and promote its business standards. Northparkes procurement and vendor management procedures incorporate vendor due diligence, by way of global vendor risk and reputation screening software, and health and safety pre-qualification procedures. These procedures allow Northparkes to monitor suppliers for organisational risks and focus management toward areas that pose higher risk.

**SpeakUp** is Northparkes "whistle blowing" process that underpins the Northparkes Mines Code of Conduct and reinforces our culture of honest and ethical behaviour in all that we do. The SpeakUp program is an operational-level grievance mechanisms available to employees and contractors of Northparkes and to suppliers of goods or services and service providers to Northparkes including an individual who is or has been employed or contracted by such a supplier or service provider. No relevant concerns were raised during the reporting period. The SpeakUp program and the Whistleblower Protections Procedure which underpins it, expressly includes modern slavery and breach of modern slavery laws as conduct which should be reported and protected under the Speakup program.

**Modern Slavery Compliance Training** Northparkes has implemented a Modern Slavery Compliance Training Module which has been incorporated into the Northparkes Training, Competency and Awareness Management Plan. The Modern Slavery Compliance

Training Module has been allocated to the following Northparkes personnel:

- Northparkes Leadership Team;
- Superintendents (Commercial, Finance, HR);
- Contractor Administrators;
- Contracts Advisors & Sourcing Analysts;
- Senior Supply Leader;
- Purchasing Officers;
- HR/Recruitment Advisors; and
- Fair Treatment Officers.

Northparkes aims to achieve and maintain a minimum 95% completion rate for Modern Slavery Compliance Training by the end of June 2023. Completion rates are being monitored monthly.

**Modern Slavery Remediation Procedure** Northparkes has also implemented a modern slavery remediation procedure which details the process and actions that must be followed in response to any actual or suspected instances of modern slavery whether reported through the whistleblower hotline or otherwise, to remediate harm to workers and to prevent any re-occurrences.

**External audit** during the reporting period Northparkes engaged an independent external auditor to:

- review and report on the adequacy and effectiveness of its Modern Slavery Policy, Management Plan and associated procedures;
- audit Northparkes' compliance with its Modern Slavery Policy and Management Plan and associated procedures; and
- make recommendations for improvement of the Modern Slavery Policy and Management Plan and associated documents.

The external auditor concluded that:

- Northparkes' Modern Slavery Policy and Modern Slavery Management Plan are compliant with Northparkes' obligations under the *Modern Slavery Act 2018* (Cth); and
- Northparkes' Modern Slavery Management Plan is sophisticated and evidences Northparkes' compliance with the commitments made in its 2021 modern slavery statement, otherwise achieving the Government's expectation of "continuous improvement".

The audit outcomes included recommendations in relation to the provision of timeframes for control measures in the Modern Slavery Management Plan, the implementation of a remediation plan or policy and the acknowledgement of the intersecting nature of modern slavery risks to business and to people.

These recommendations have now been implemented and further reviewed and approved by the external auditor.

Northparkes intends to have an external audit completed biennially as a minimum.

**Supply Chain Risk Assessment** Northparkes engaged an external expert to perform a desktop modern slavery risk assessment of its Top 20 suppliers during the reporting period. The outcome of that assessment revealed that:

- 10 suppliers were found to be of 'Low' risk – these suppliers either already had in place a modern slavery response or, primarily in cases where that supplier was not technically required to report under the Modern Slavery Act, already had established internal controls, policies and procedures that indicated it was already aligned with the principles that make up an effective modern slavery response.
- 7 suppliers were found to be of 'Low-to-Moderate' risk – For the most part, the 7 Low-to-Moderate risk suppliers were found to have little to no information available regarding a modern slavery framework or, more generally, a corporate ESG framework in place. As most suppliers within this category were in low-risk industries, a risk rating of Low-to-Moderate was awarded.
- 3 suppliers were found to be of 'Moderate' risk – The 3 suppliers found to be of Moderate risk both had deficient information regarding a modern slavery or ESG response publicly available and were also associated with higher-risk industries or sectors. As such, they were awarded risk assessment of Moderate.
- As each of the top 20 suppliers were located in and (mostly) only had operations throughout Australia, the treated risk score awarded for each supplier was 'Low' because the Global Slavery Index 2018 (Walk Free Foundation) (GSI) concludes that Australia is a low-risk region for modern slavery.
- Suppliers with Moderate to High untreated risk ratings will be monitored throughout the 2023 calendar year noting that it is possible, particularly for the suppliers that are required to report under the Modern Slavery Act, that because they meet the reporting threshold, they will improve upon the overall quality of their modern slavery or ESG response.

## 2021 Proposed Future Action

### Policies & Procedures

All of the proposed future actions detailed in Northparkes' 2021 Modern Slavery Statement were addressed in the 2022 reporting period including:

- A Modern Slavery Policy was adopted in 2021 to align Northparkes' objectives with the requirements of the Modern Slavery Act and United Nations Guiding Principles. This policy was reviewed and further updated during the reporting period to strengthen Northparkes' commitments towards proactively identifying and addressing modern slavery risks in its operations and supply chain by linking the policy into a broader governance framework of related policies and procedures which are together designed to ensure compliance with applicable laws and reduce the risk of modern slavery practices within our business.
- Changes to Procurement Procedures (and associated templates) were implemented in 2022 to incorporate Modern Slavery specific due diligence requirements, including a modern slavery due diligence questionnaire.
- The Northparkes Code of Conduct was amended in the reporting period to expressly reference and address Modern Slavery.
- The Northparkes' Whistleblower Protections Procedure was updated during the reporting period to expressly reference Modern Slavery and to, where appropriate, extend the program to Northparkes' entire supply chain.

### Training

- A Modern Slavery awareness and compliance training module for business leaders and supply & procurement functions was rolled out to relevant personnel in 2022.

### Supplier engagement

- Updates to supply contract General Conditions to incorporate Modern Slavery Act compliance requirements were implemented in the reporting period.
- Modern slavery due diligence questionnaires were implemented into Northparkes' supplier due diligence processes including in Requests for Tender and for all new contracts for major supply (of high value or high risk).

### Data collection

- No action was taken in the reporting period to collect country and region of origin information for all materials supply. Northparkes has approximately 28,000 items in its inventory catalogue and it was not feasible to collect

country and region of origin information for each individual item during the reporting period. Northparkes has however implemented supplier due diligence questionnaires in its procurements processes, which questionnaires have been updated to include specific questions in relation to the region and country of origin for all materials and services supplied to Northparkes.

## 2.5 Describe how the reporting entity assesses the effectiveness of these actions

It is difficult to assess the effectiveness of our policies and procedures in addressing the risks of modern slavery in our operations and supply chains in circumstances where no instances of modern slavery have yet been detected or reported.

At present, all individuals within our business (whether employees or contractors) are responsible for reporting incidents or concerning behaviour that may not adhere to our Business Standards, Code of Conduct and other policies and procedures. We conduct awareness training for our Code of Conduct and keep records of personnel who have completed the relevant compliance training modules. This process was extended to cover the Modern Slavery awareness and compliance training module rolled out to relevant personnel in the 2022 reporting period, compliance with which is being tracked, reported on and followed up with relevant personnel to improve completion rates. If any issues are reported anonymously through the SpeakUp program, our third-party auditor will track our performance to respond to the concern raised. As mentioned above, the Speakup Program was extended to expressly cover modern slavery in the 2022 reporting period, however data relating to modern slavery reporting will not be available until the 2023 reporting period. If any allegations of modern slavery are reported or otherwise come to the attention of Northparkes, the modern slavery remediation procedure will govern Northparkes' response.

Northparkes continued to rely on these processes to assess the effectiveness of its actions to address the risks of modern slavery in its operations and supply chains throughout the 2022 reporting period.

Northparkes will endeavour to implement a more targeted and robust response to assessing the effectiveness of its actions to mitigate and address modern slavery risks in the 2023 reporting period through enhanced direct engagement with suppliers to accumulate more meaningful data for assessing the effectiveness of our actions to mitigate the risk of modern slavery in our supply chains.

## 2.6 Describe the process of consultation with any entities the reporting entity owns or controls (a joint statement must also describe consultation with the entity giving the statement)

As Northparkes (an entity in the control of CMOC) manages CMOC's operations in Australia, CMOC has prepared this Statement in consultation with its teams at Northparkes. Northparkes' procurement, supply and logistics teams oversee CMOC's supply chains in accordance with CMOC and Northparkes procedures.

## 2.7 Provide any other relevant information

### 2.7.1 CMOC Code of Business Conduct

CMOC also maintains a Code of Business Conduct and an online anonymous reporting feature called EthicsPoint available from the Northparkes and CMOC websites. The CMOC Code of Business Conduct includes our pledge to promote respect for human rights.

'Respect for human rights has been a long-standing commitment of CMOC. Our policy is to conduct our operations in a manner consistent with the United Nations Universal Declaration of Human Rights, and to align our human rights due diligence practices with the U.N. Guiding Principles on Business and Human Rights. We promote human rights awareness through engagements with host governments and local communities, as well as providing training to employees and contractors, and have site-specific human rights policies and systems and a grievance mechanism for reporting, documenting and following up on all violations of human rights allegations reported in our areas of operation.... including any forms of forced or compulsory labour, child labour, human rights violations or any other serious violations of international humanitarian law.'

### 2.7.2 COVID-19 (Coronavirus)

COVID-19 continued to be disruptive to supply chains and logistics throughout 2022 and may have increased the risk of modern slavery for some industries or regions. Locally, Northparkes implemented COVID-Safe procedures to minimise the risks of COVID-19 to our workforce, contractors and community and to ensure our operations were able to continue safely. Throughout the year we worked collaboratively with our contractors and suppliers to manage the difficulties presented by COVID-19 and its global response without imposing further undue pressure upon already strained supply chains. We recognised that the continuing presence of COVID-19 may significantly alter the prevalence of modern slavery and that we must consider this when formulating our further actions and assessing their effectiveness.

## 3.0 PROPOSED FUTURE ACTION

### Data collection

- Improve data collection for the country and region of origin of materials or services used by suppliers by way of updated supplier due diligence questionnaires.
- Consider supply chain mapping for higher modern slavery risk supply.

### Supply chain risk assessment

- Northparkes will conduct a supply chain risk assessment of its top 50 suppliers, the outcomes of which will be incorporated into its 2023 Modern Slavery Statement.

## 4.0 APPROVAL OF MODERN SLAVERY STATEMENT

### 4.1 Approved by principal governing body

This Statement has been approved by the board of CMOC Mining Pty Ltd.

### 4.2 Signed by responsible member



**Jianjun Tian,**  
Managing Director,  
CMOC-Northparkes Mines