

Modern Slavery Transparency Statement

Hitachi Vantara recognizes the importance of identifying and preventing modern slavery in our business and supply chain. This Statement sets out the steps we have taken to reduce the risk of modern slavery and human trafficking in our global business operations and supply chain for calendar year 2021.

Our Business

Hitachi Vantara is a wholly owned subsidiary of Hitachi, Ltd. As part of the global Information Solutions arm of Hitachi, Ltd., we focus on delivering Information Technology (IT) infrastructure as well as content and Big Data solutions in order to enable social innovation and allow companies to deliver data-driven solutions for commercial and industrial enterprises.

In January 2020, the Hitachi Consulting business (the global management consulting, solutions and IT services business of Hitachi, Ltd.) integrated with Hitachi Vantara. As a result of this integration, Hitachi Vantara capabilities have been expanded and now include data storage, Internet of Things, IT operations and data management, analytics, video intelligence, consulting services and multicloud acceleration solutions.

Our Operations and Supply Chain

Hitachi Vantara's global business operations focus on product and service offerings.

Working alongside each customer, we apply our industrial and digital capabilities to their data and applications to benefit both business and society. Our customers trust Hitachi Vantara to help them develop new revenue streams, unlock competitive advantages, lower costs, enhance customer experiences, and deliver social and environmental value.

A significant proportion of the products supplied by Hitachi Vantara are manufactured by Hitachi Group companies, but Hitachi Vantara also sources third-party products from partners.

Hitachi Vantara aims to establish a relationship of trust and integrity with all our suppliers. Our supplier selection and on-boarding procedures include supplier due diligence checks and compliance with applicable laws and regulations.

Our Approach

We are committed to conducting business ethically and to minimising the risk of slavery or human trafficking in our own business and within our supply chain.

Our commitment and work is strongly supported by Hitachi, Ltd. In 2019, Hitachi, Ltd.'s then President and CEO, Toshiaki Higashihara, signed the World Business Council for Sustainable Development's [CEO Guide to Human Rights](#) calling for CEOs to go beyond compliance to drive transformative change in corporate respect for human rights.

In line with Hitachi's global human rights approach to create a value chain where the human rights of all stakeholders are respected, Hitachi Vantara seeks to work with suppliers that actively address modern slavery.

Our Policies

We continue to apply our company policies:

- The Hitachi Vantara Code of Ethics and Business Conduct:
<https://www.hitachivantara.com/en-us/pdf/legal/code-of-ethics-business-conduct.pdf>
- The Hitachi Vantara Whistleblowing and Non-retaliation Policy.
- Our written employment practices and procedures support fair recruitment and treatment of employees, a commitment to providing equal opportunities throughout employment, including in the recruitment, training and promotion of employees and eliminating discrimination in the workplace whether on grounds of disability, gender, religion, sexual orientation, marriage, race, colour, national or ethnic origins.

Our Progress to Date

During the most recent assessment of our Modern Slavery statement and practices conducted by Hitachi Vantara, we reviewed and analyzed our supply chain and operation services. We have determined that our highest risk areas are select third-party suppliers operating in countries deemed higher risk for modern slavery. These include, but are not limited to, accommodation and food service providers, construction and facilities management, wholesale and trade, manufacturing, and other service providers that operate 24/7 or in a bullpen environment. In order to address potential risks, Hitachi Vantara is doing the following:

- We are updating our contract templates for suppliers to include an obligation that our suppliers must comply with the applicable modern slavery laws (including but not limited to UK Modern Slavery Act 2015 and the Australian Modern Slavery Act 2018) and require suppliers to certify that materials incorporated into their products comply with such laws.
- We have started integrating our acquired companies' supply chain operations into Hitachi Vantara's global process to increase procurement governance.
- We are enhancing our processes to evaluate supplier compliance with Hitachi Vantara standards for preventing trafficking and slavery.
- Topic-specific, on-line, anti-slavery training has been prepared for relevant staff to complete by the end of the fiscal year. This course provides an overview regarding modern slavery awareness and how typical red flags can arise in the context of an employee's interactions with a prospective or existing supplier.

Future Plans

Over the coming year and beyond we plan to focus on the following:

- Continue to improve our due diligence process for assessing and addressing risks in supply chain using a risk-based approach.
- Once prospective high-risk suppliers are identified, develop, and implement risk mitigation plans to support these suppliers if on-boarded, as appropriate.
- Incorporate continuous on-line monitoring of high-risk suppliers for negative news media, litigation, and enforcement activities related to modern slavery.
- Implement a supplier audit program that includes monitoring operations for indicia of modern slavery.
- Review the Hitachi Vantara Code of Business Ethics and Conduct, Hitachi Vantara Partner Code of Conduct, Hitachi Vantara Global Procurement Policy for the Procurement of Goods and Services, and Hitachi Vantara contract templates and revise these documents where we see

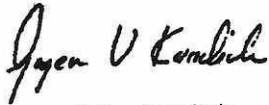
opportunities to enhance the modern slavery compliance of our suppliers and partners.

- The on-line training referenced above will be supplemented with webinar content to demonstrate how the concepts covered in the online training may be applied practically in examples relevant to the Company's business practices.

We understand that this assessment will be an evolving process. To that end, we have set annual goals for Hitachi Vantara to meet, and we will continue to evaluate the effectiveness of our practices going forward and will make any adjustments necessary to ensure we are meeting our goals and constantly improving.

The above constitutes Hitachi Vantara's slavery and human trafficking Statement. The term "Hitachi Vantara" as used herein refers to Hitachi Vantara LLC and its wholly owned subsidiaries including, without limitation, Hitachi Vantara Limited (a UK based subsidiary) as well as Hitachi Vantara Asia Pacific Pty Limited and Hitachi Vantara Australia Pty Limited (Australian based subsidiaries and reporting entities) and Hitachi Vantara New Zealand Limited (a New Zealand subsidiary which is wholly owned by Hitachi Vantara Australia Pty Limited). This Statement is made pursuant to the requirements of 54(1) of the UK Modern Slavery Act 2015, Part 2 of the Australian Modern Slavery Act 2018, and The California Transparency in Supply Chains Act. It has been approved by the relevant board of directors of Hitachi Vantara and its subsidiaries as applicable. All necessary consultation related to this Statement has taken place between Hitachi Vantara and its subsidiaries.

Signed by:



Gajen Kandiah
Chief Executive Officer
Date: September 2021